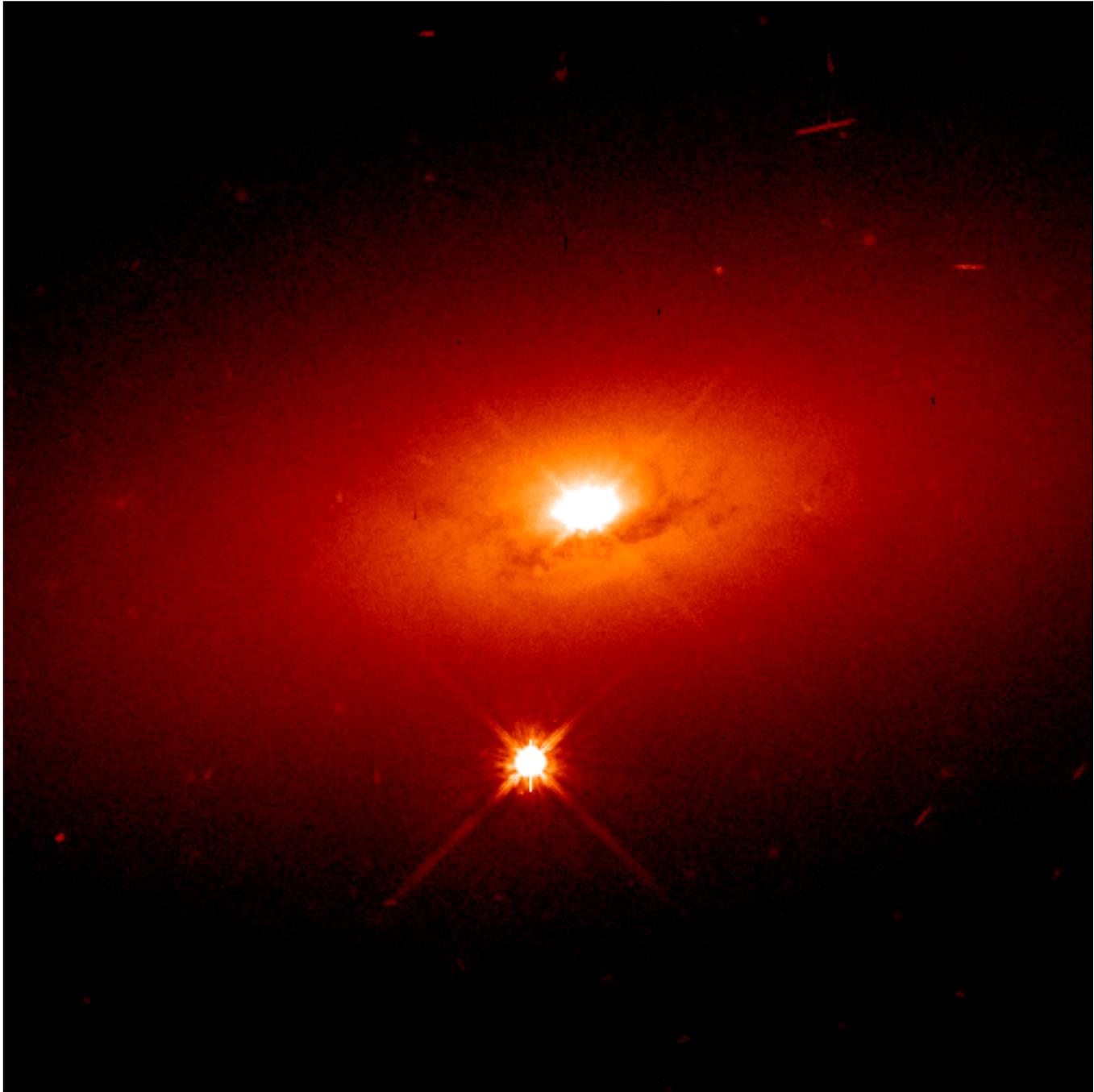


# Strong gravity and accreting black holes

- Finish how to get the masses of black holes
- The AGN Zoo
- Black Hole systems
  - The spectrum of accreting black holes
  - X-ray “reflection” from accretion disks
  - Strong gravity effects in the X-ray reflection spectrum

# Spectra of accreting black holes



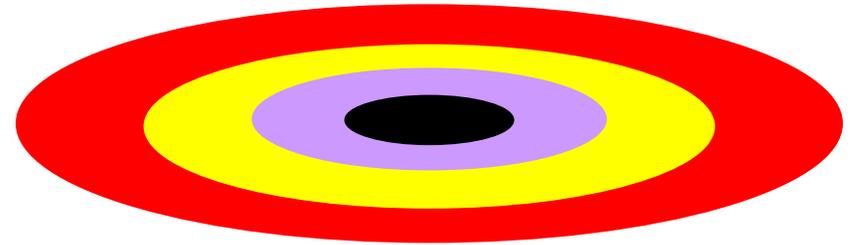


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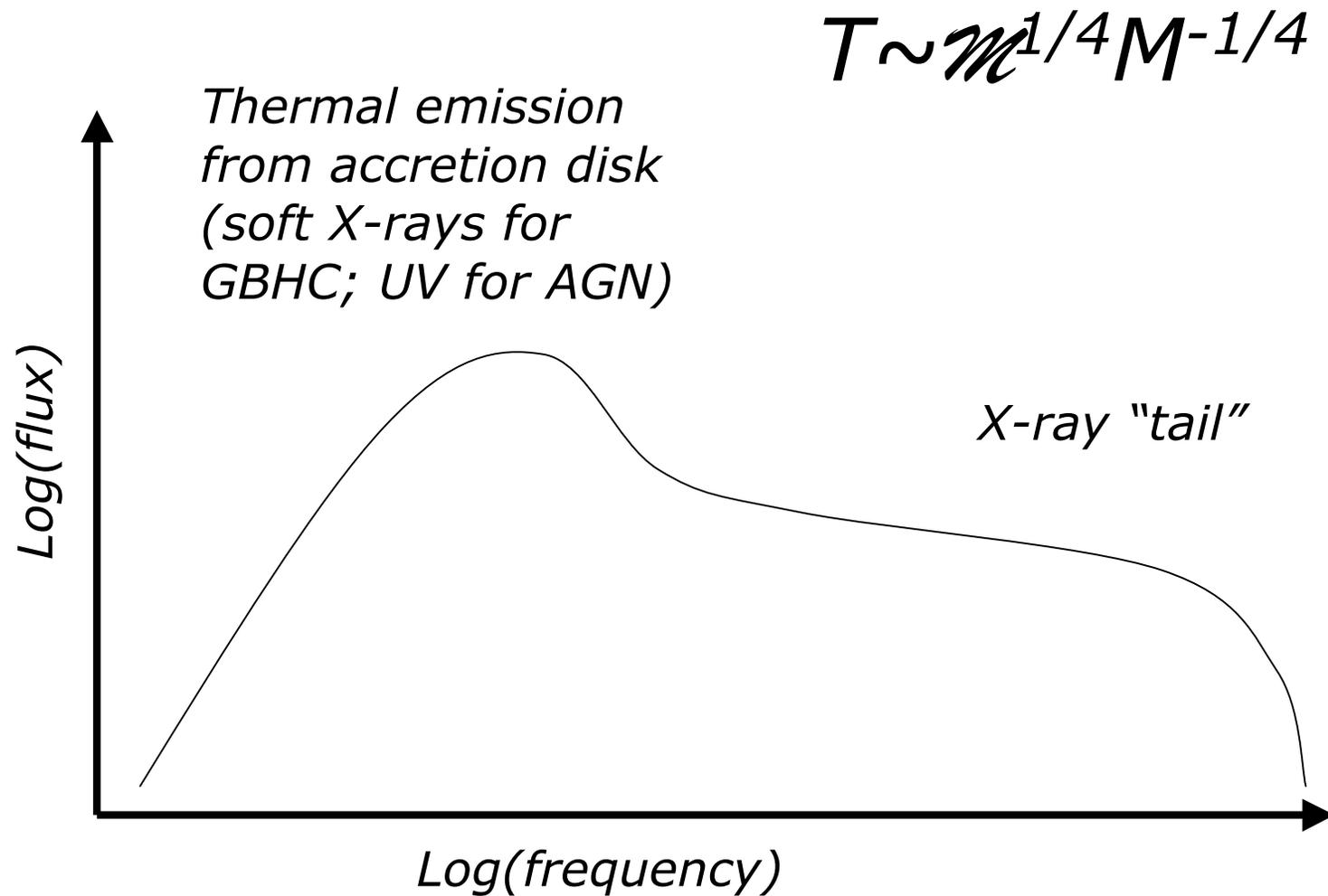
# Spectra of accretion flow: disc-

## C. Done

- Differential Keplerian rotation
- Viscosity : gravity  $\rightarrow$  heat
- Thermal emission:  $L = A\sigma T^4$
- Temperature increases inwards
- GR last stable orbit gives minimum radius  $R_{\text{ms}}$
- $a=0$ :  $T_{\text{max}} = (M/M_{\odot})^{-1/4} (L/L_{\text{Edd}})^{1/4}$ 
  - 1 keV ( $10^7$  K) for  $10 M_{\odot}$
  - 10 eV ( $10^5$  K) for  $10^8 M_{\odot}$
- $a=0.998$   $T_{\text{max}} \sim 2.2 T_{\text{max}} (a=0)$
- AGN: UV disc, ISM absorption, mass more uncertain. XRB...



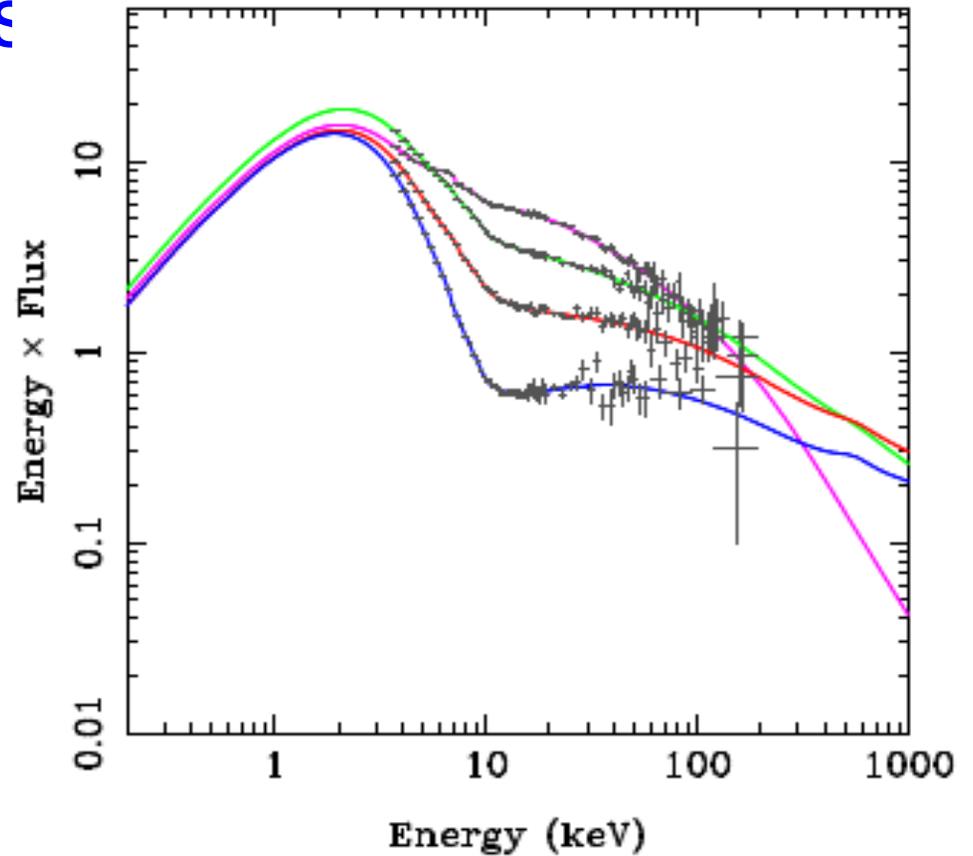
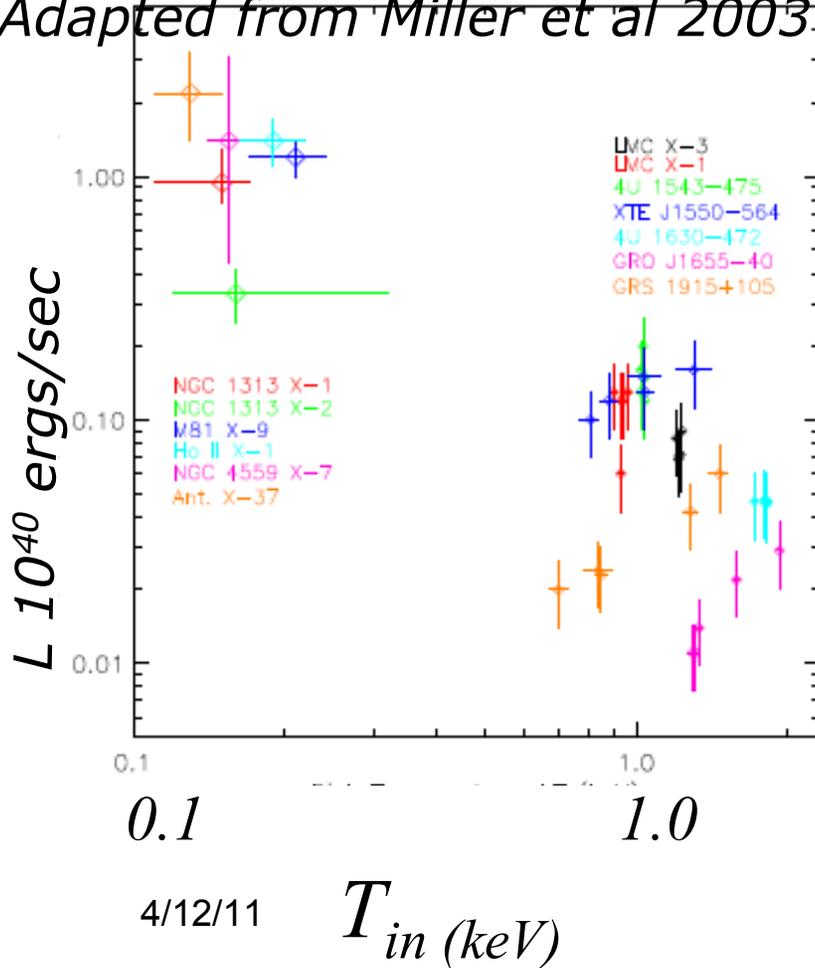
# What Do Broad Band Spectra of Black Holes Look Like



# Galactic Black Holes

- Relatively low mass and so the disk are 'hot'

Adapted from Miller et al 2003



$$L \sim 7 \times 10^{38} f (M_{10})^2 (T_{in})^4 \text{ erg/s}$$

Where  $M_{10}$  is the mass in units of  $10 M_{\odot}$  and  $T_{in}$  is in keV

***f* is a factor taking some physics into account**

## Derivation (See Rosswog and Bruggen sec 8.4)

- Derivation of previous eq
- $L = 2\pi R_{in}^2 f(\cos i)^{-1}$ ;  $f$  is the flux from the surface of the disk,  $R$  is the radius
- Using the black body law

$$L = 4\pi\sigma R^2 T_{in}^4 \quad \sigma \text{ is the Stefan- Boltzmann constant}$$

In fitting the spectrum  $T_{in}$  is directly observable

We can thus take the 2 equations to get the innermost radius

$$R_{in} = \sqrt{L/4\pi\sigma T_{in}^4} \text{ and}$$

$$T_{in} \sim 3M_{10}^{-1/4} \text{keV}$$

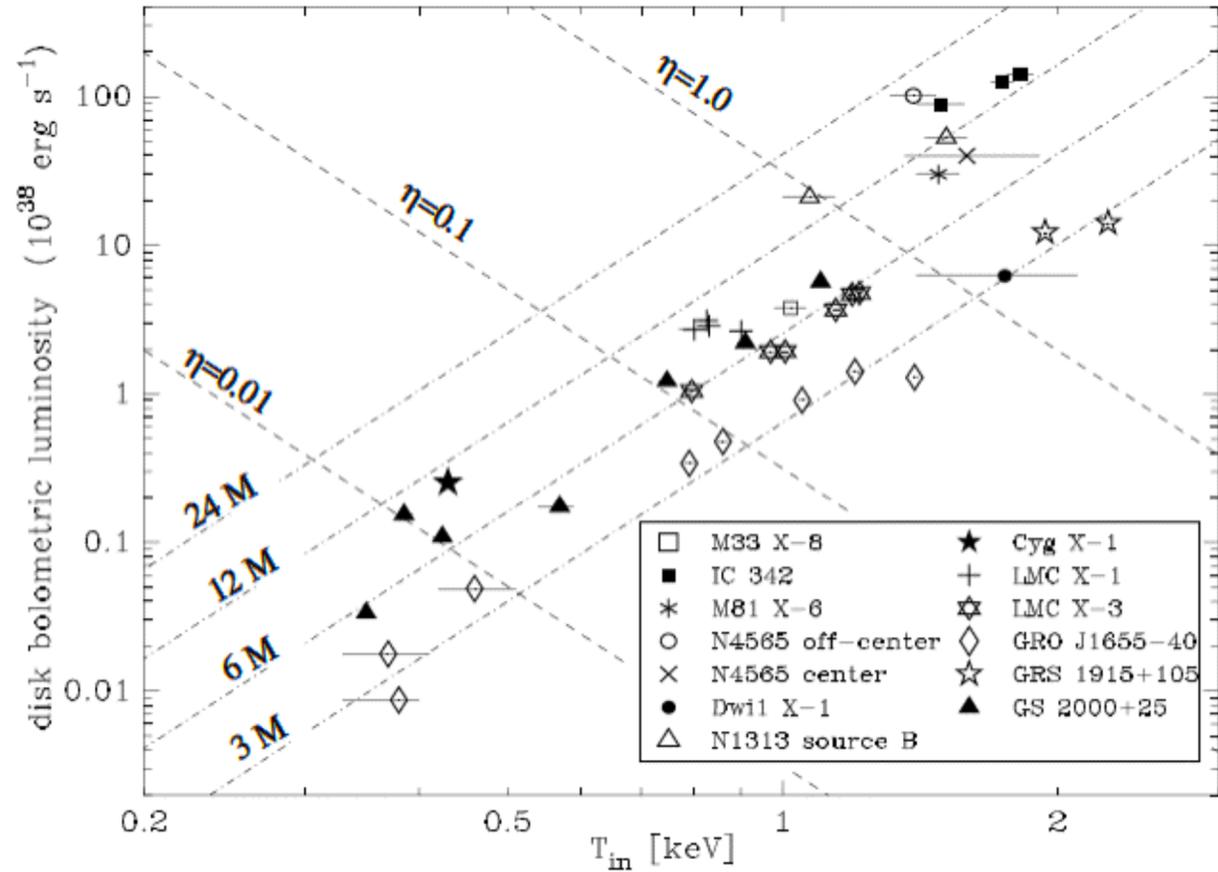
$$T(r) = 6.3 \times 10^5 \mathcal{M}_{Edd}^{1/4} M_8^{-1/4} (r/r_s)^{-3/4}$$

( $\mathcal{M}_{Edd}$  is the accretion rate in Eddington units,  $T = T_{in}$  for  $r = r_s$ )

# Real Objects

- Amazingly data for galactic black holes agrees with the simple theory

Makishima et al 2000



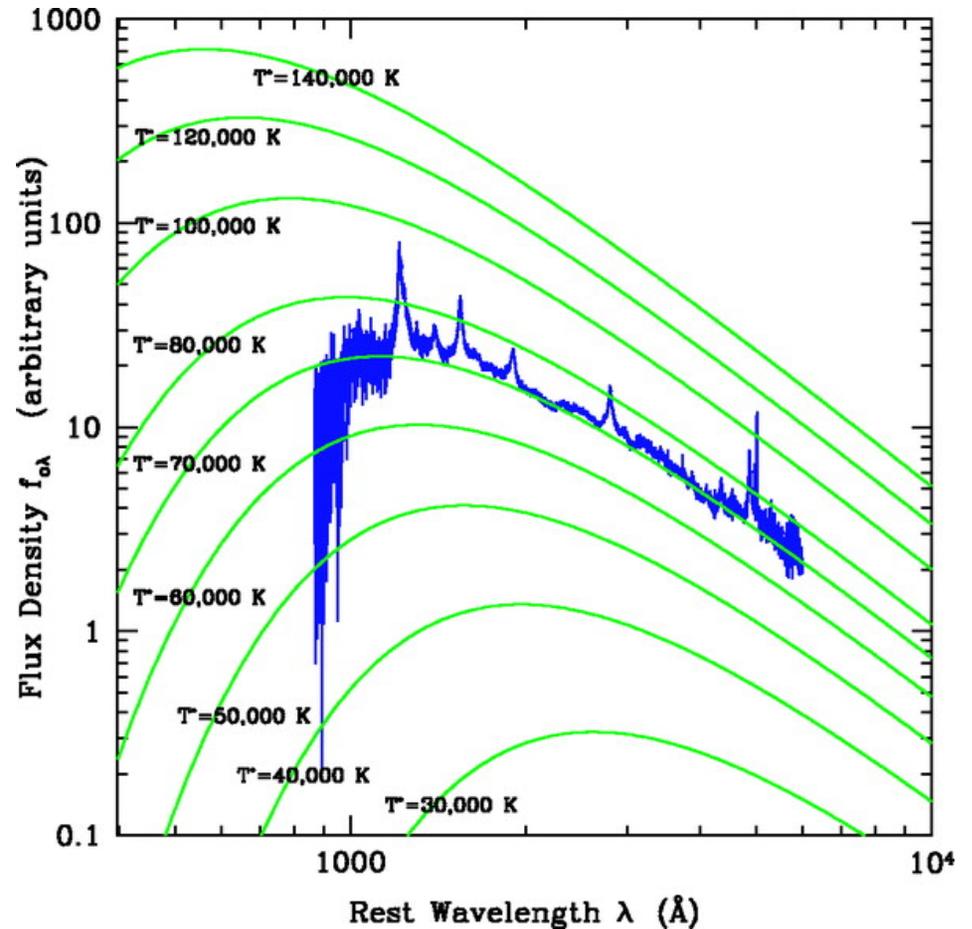
$$L_{bol} = \eta L_E \propto \eta M ,$$

## Today's Class

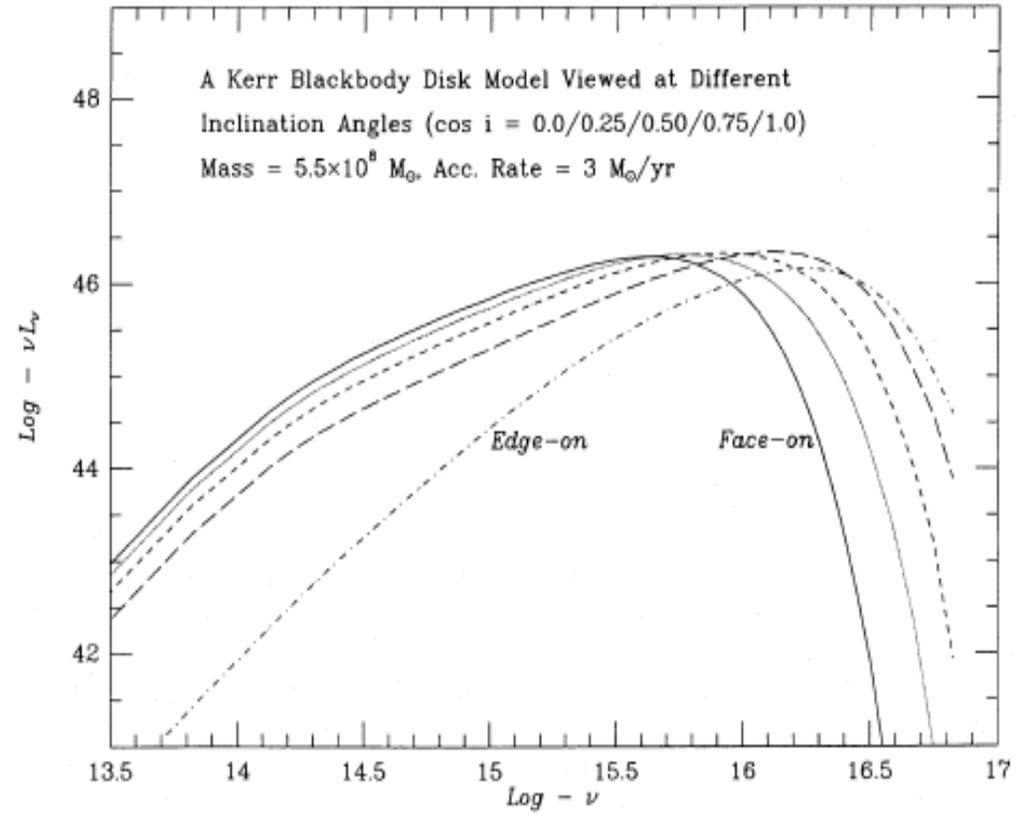
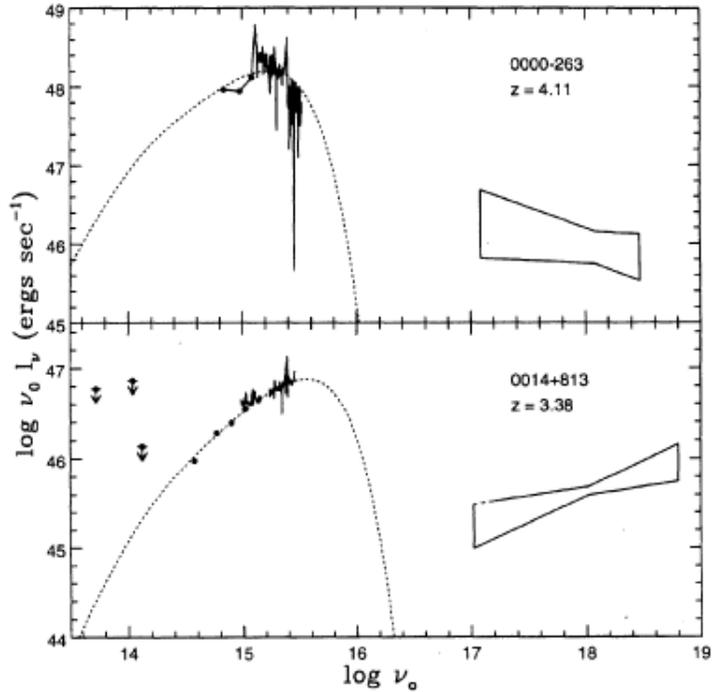
- Review of Accretion Disk fits to AGN spectra
- Broad band spectra are not so simple- what's there in addition to the accretion disk
  - the geometry of the innermost regions
  - brief review of Comptonization
- Effects of 'reprocessing' - the disk 'sees' the hard x-ray radiation and there are measurable effects

# AGN

- AGN are very massive and so the predicted spectrum of the accretion disk is 'cool'
- $T \sim 8 \times 10^4$  K for an Eddington limited  $M \sim 10^8 M_{\odot}$  black hole

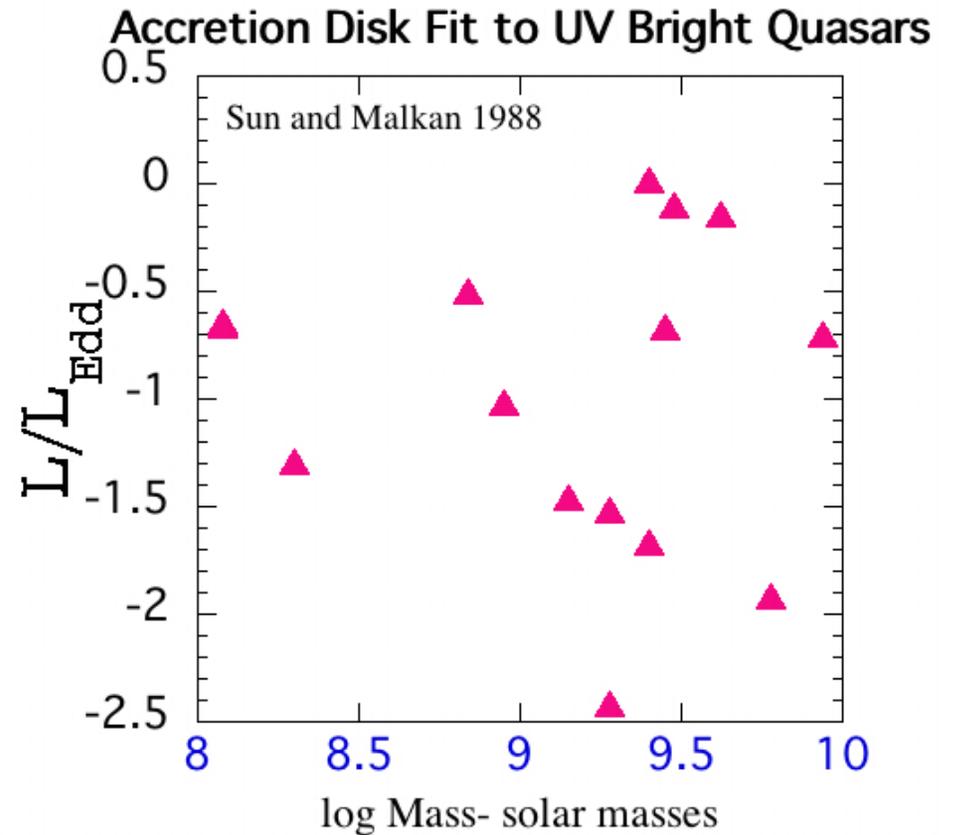


- Can Fit AGN UV-optical data with accretion disk models

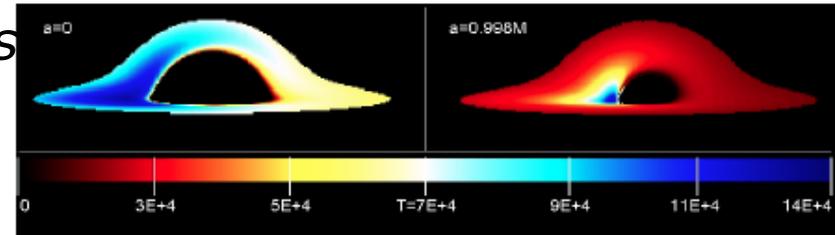
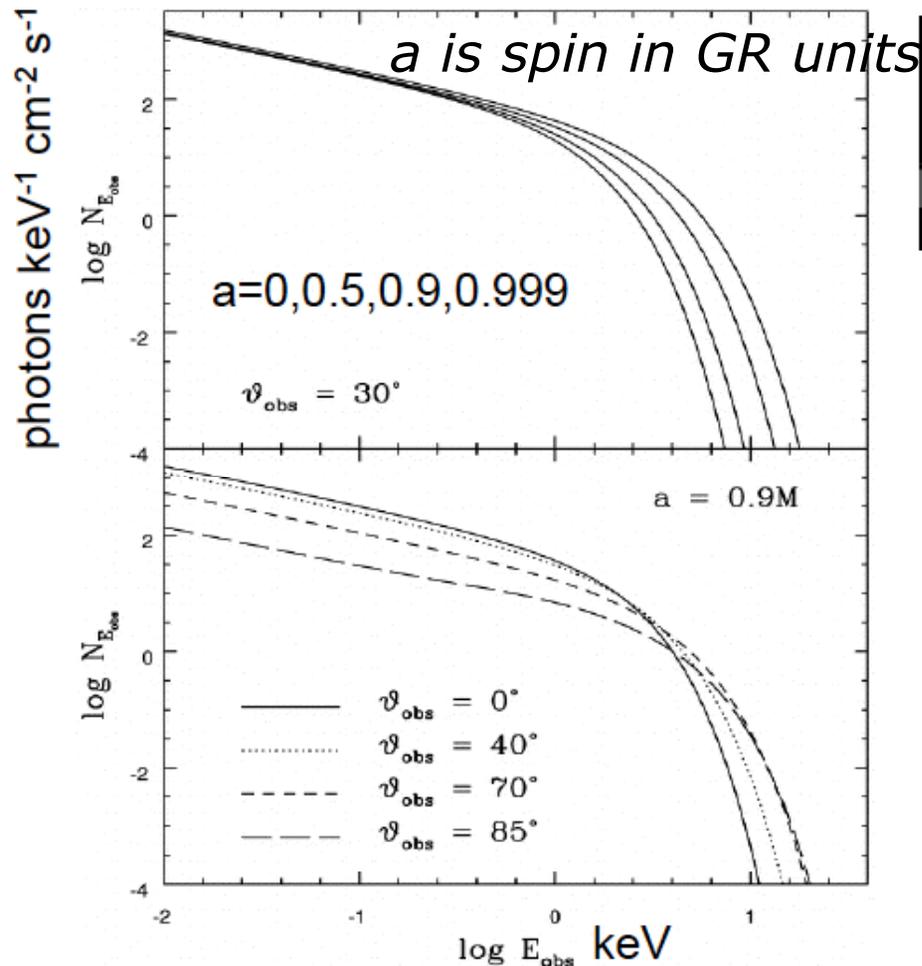


# Fitted Parameters for UV Disk Fits

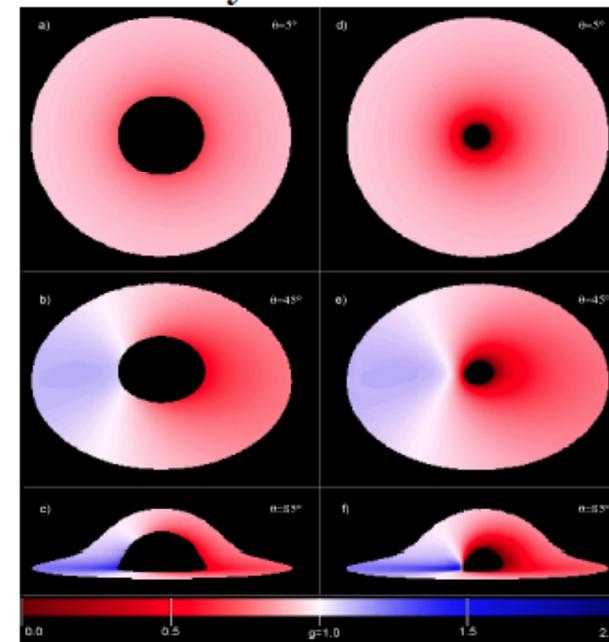
- Results are 'reasonable' but not unique
- Now have independent mass estimates- results can be checked
- Find that values are not quite right- need more complex accretion disk models (surface is not BB relativistic effects)



# Effects of Strong Gravity (Spin), Inclination Angle on Spectrum of Disk (Merloni 2010)



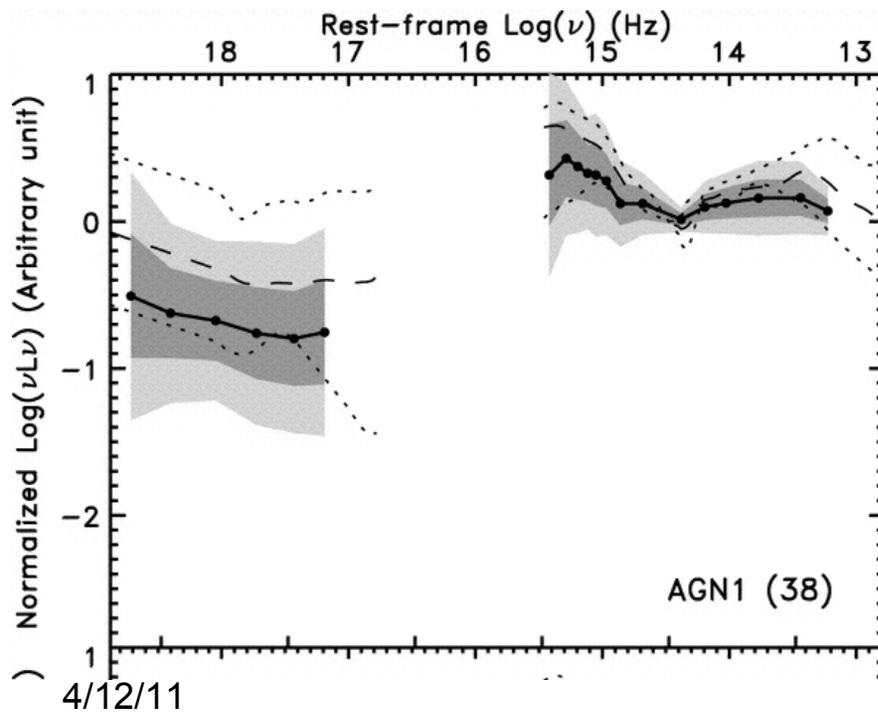
Courtesy of M. Calvani



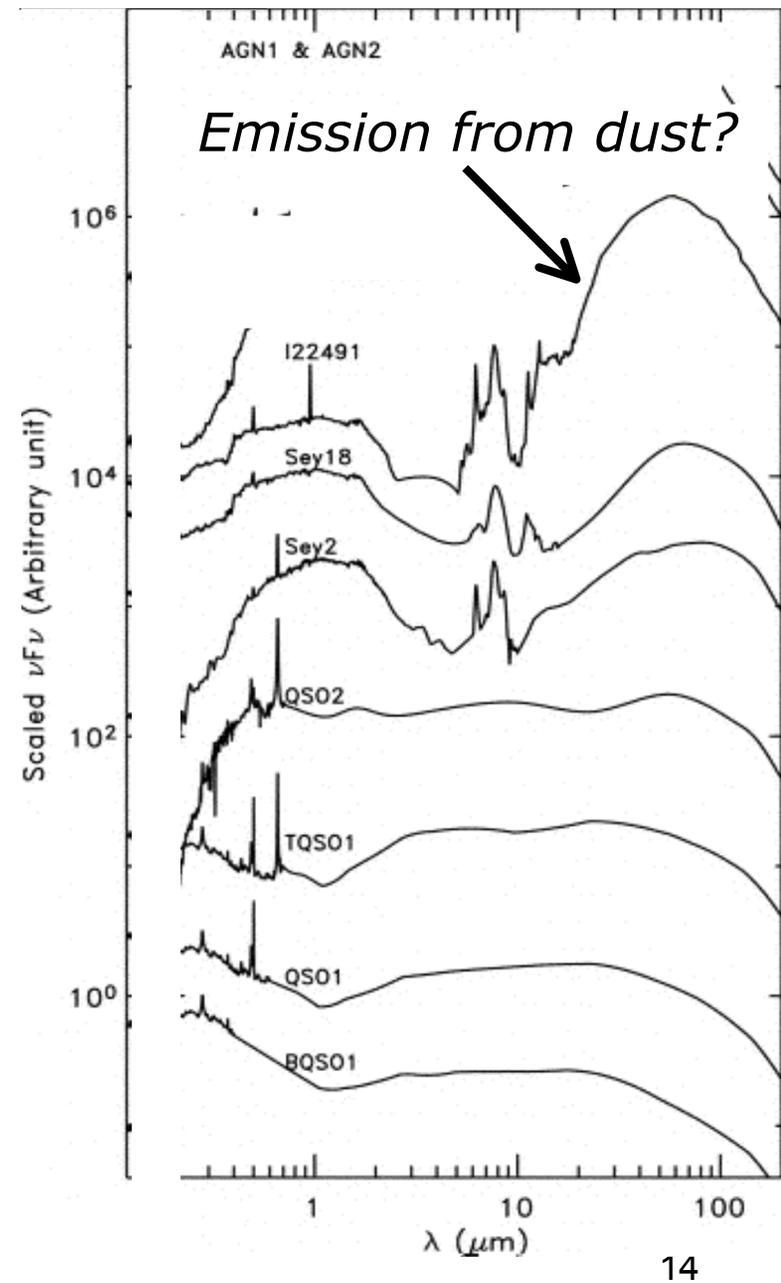
Zheng et al. 1997; Li et al. 2005; Shafee et al. 2006; McClintock et al. 2006; Nowak et al. 2008; Steiner et al. 2010; Kubota et al. 2010

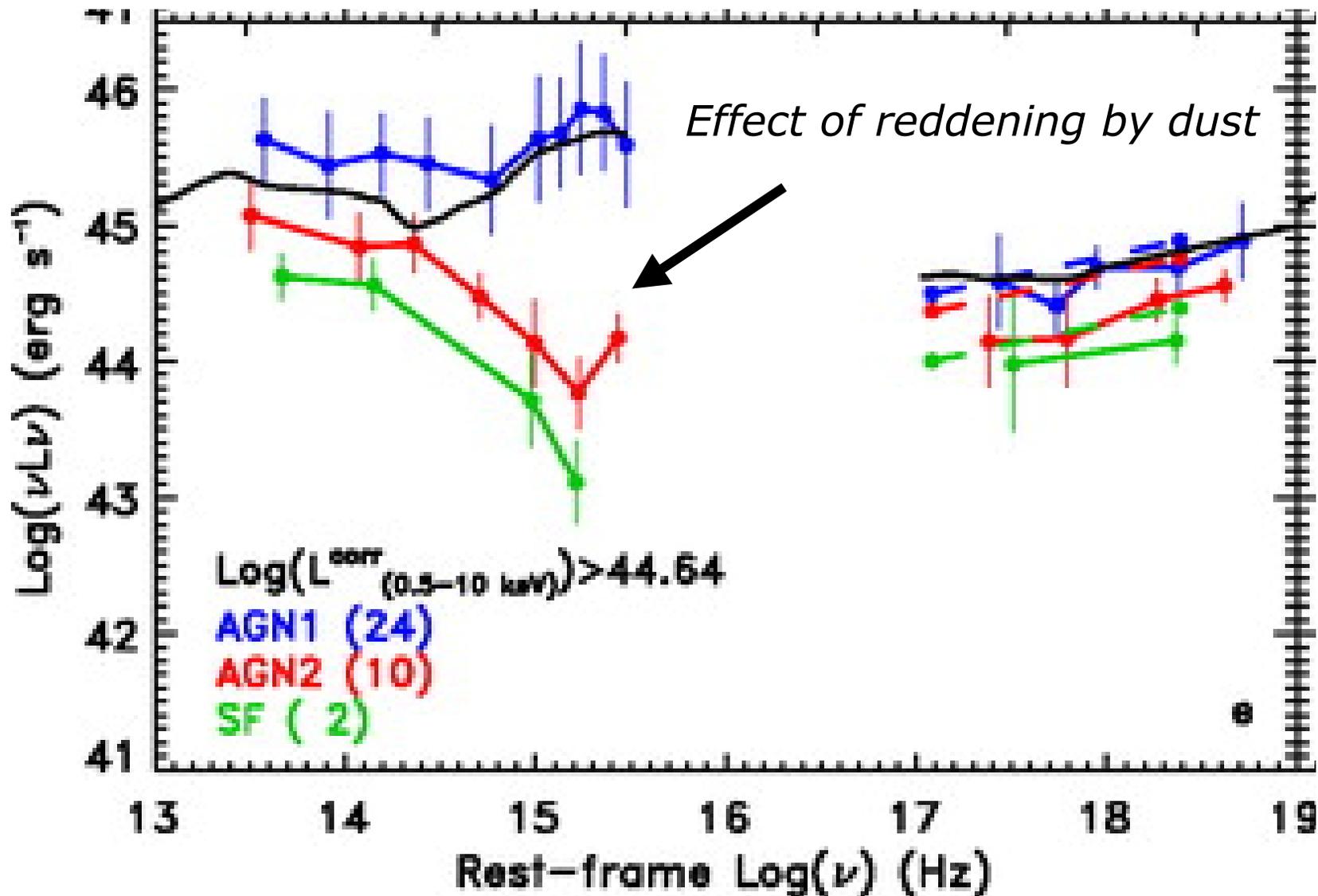
# Life is Not So Simple

- The broad band spectra of both AGN and Galactic black holes have major deviations from disk spectra



*Adapted from Poletta et al 2007*



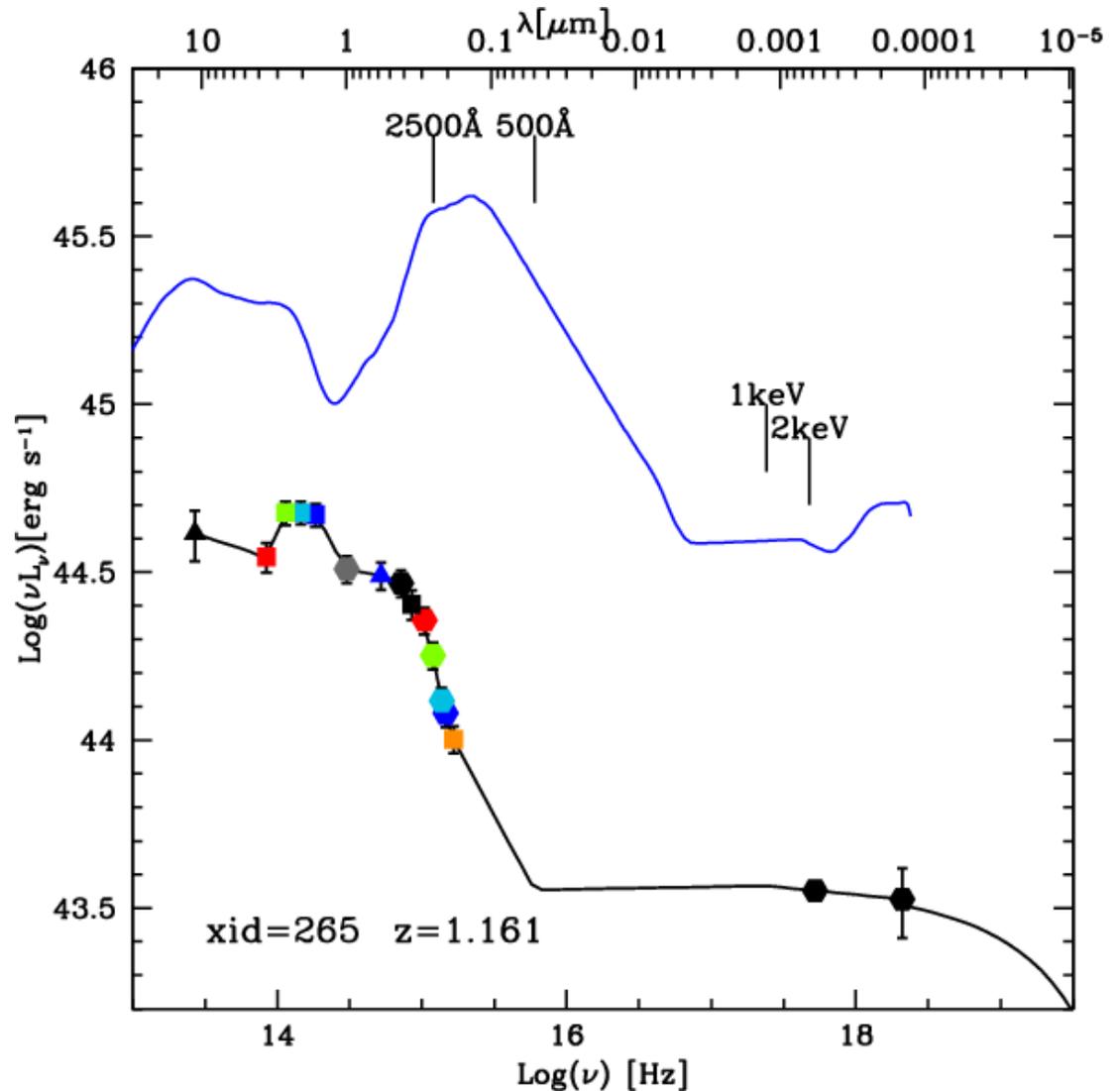


- Average Spectral Energy Distributions for 3 Classes of Objects Selected as X-ray Emitting AGN in a given x-ray luminosity bin (Polletta et al 2007)

# AGN

- A huge amount of work has gone into observing AGN across the entire electromagnetic spectrum
- There is a strong relationship between the optical-UV and the x-ray

*Brusa et al 2009*



# Effects of Dust Can Be Dominant

- Remember for the  $M \sim 10^8$   $T \sim 5 \times 10^5$  K so 'roll over' is in the FUV
- $E_{\max} \sim 3kT \sim 10^{16}$  hz
- The effects of dust (Reddening) go at  $\lambda^{-2}$
- much bigger effects at shorter (UV) wavelengths- major effect on determination of temperature of accretion disk fits to quasars.

*average amount of reddening in the Milkyway at  $b=50^\circ$*

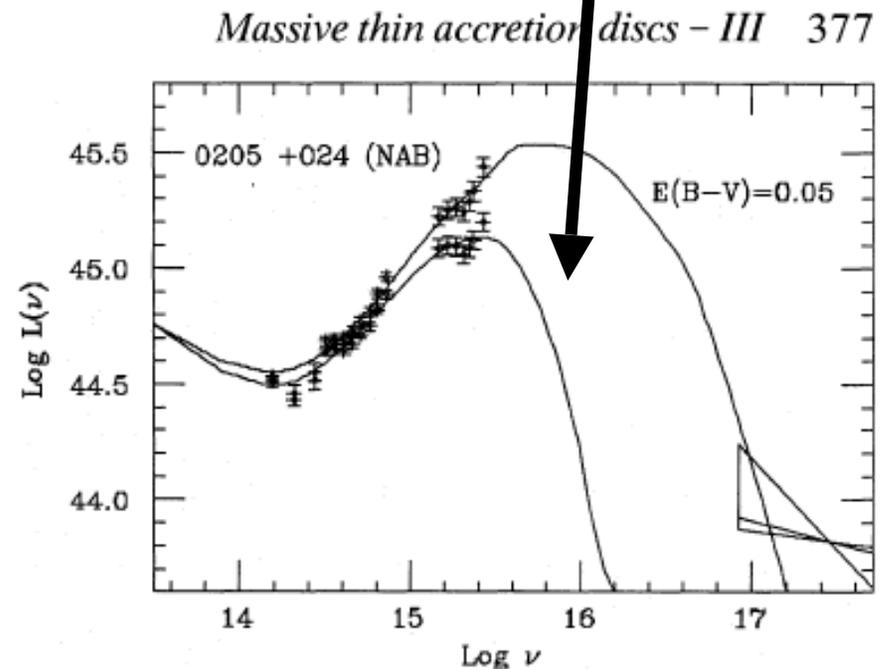
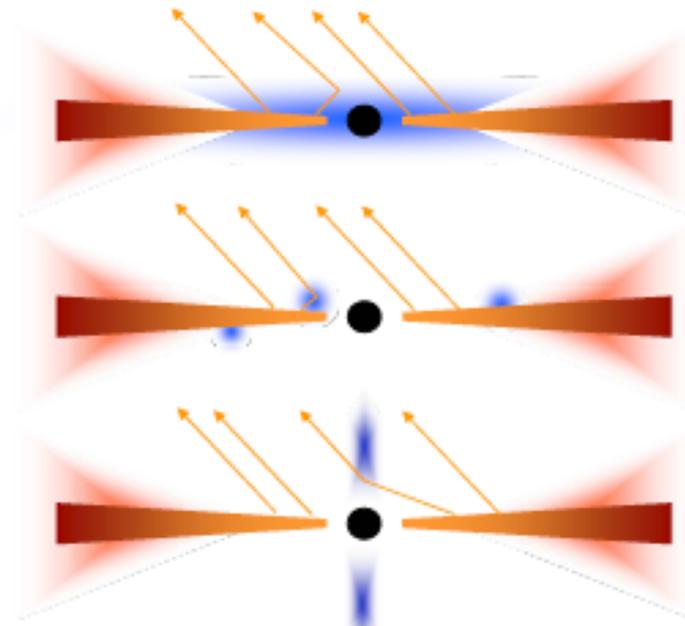
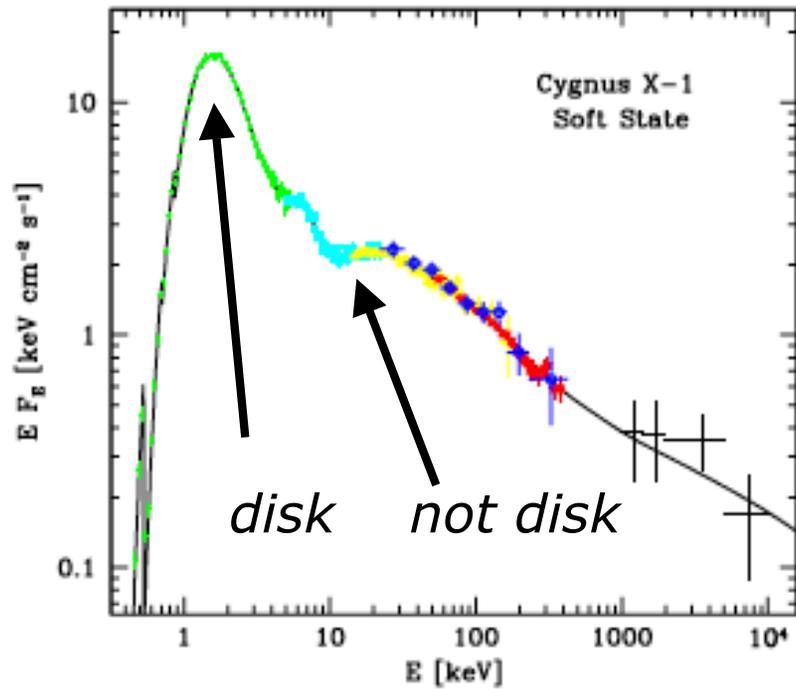


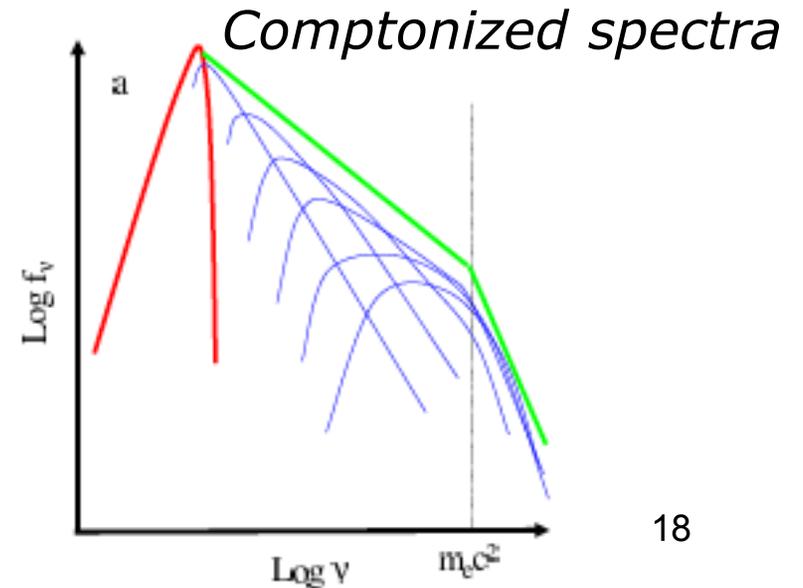
Figure 6. A fit for 0205 +024 with and without a correction for internal reddening of  $E(B-V)=0.05$ . The best fit parameters are

*Laor 1990*

# Real Data



Where do the high energy photons arise?  
 In both AGN and Black Hole binaries it is thought that this spectral component is due to Comptonization of a 'seed photon' population off of highly energetic electrons produced 'above' the disk



# Comptonized Spectra

- $\gamma \sim 4kT/m_e c^2 (\max \tau, \tau^2)$
- slope  $\alpha \sim -3/2 + (9/4 + \gamma)^{1/2}$

- The free parameter for the power law slope is  $\gamma$  which controls the spectral slope
- However the smaller  $\tau$  is larger  $T$  has to be to get the same slope - the 'bumpier' the spectra are
- spectrum steeps at high E (max T)
- $\gamma \sim 1$  is the usual case

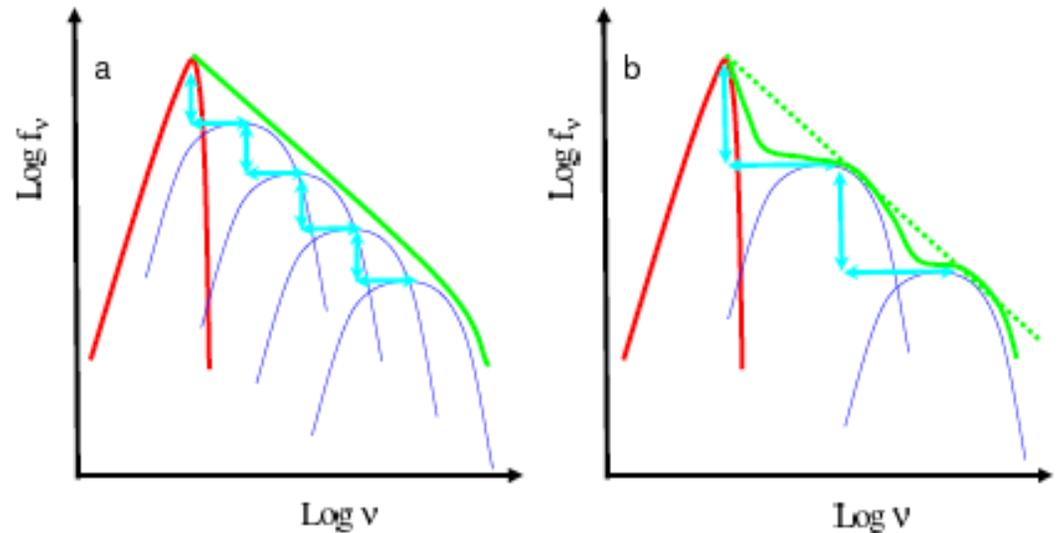
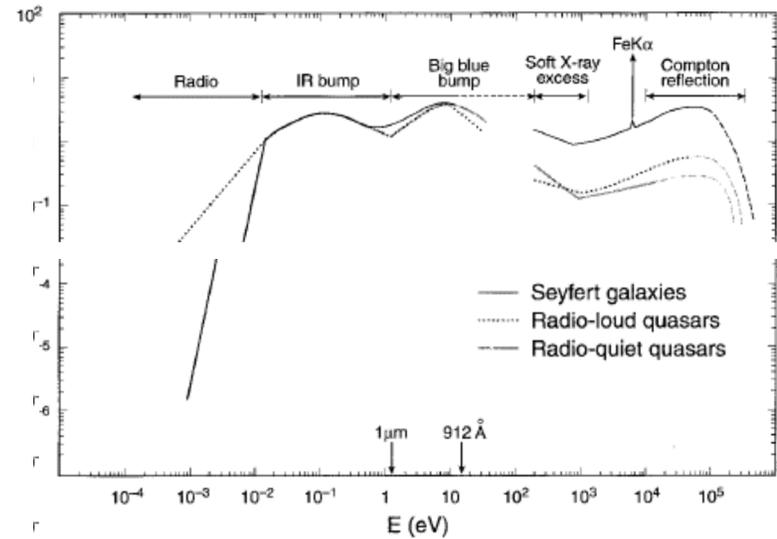
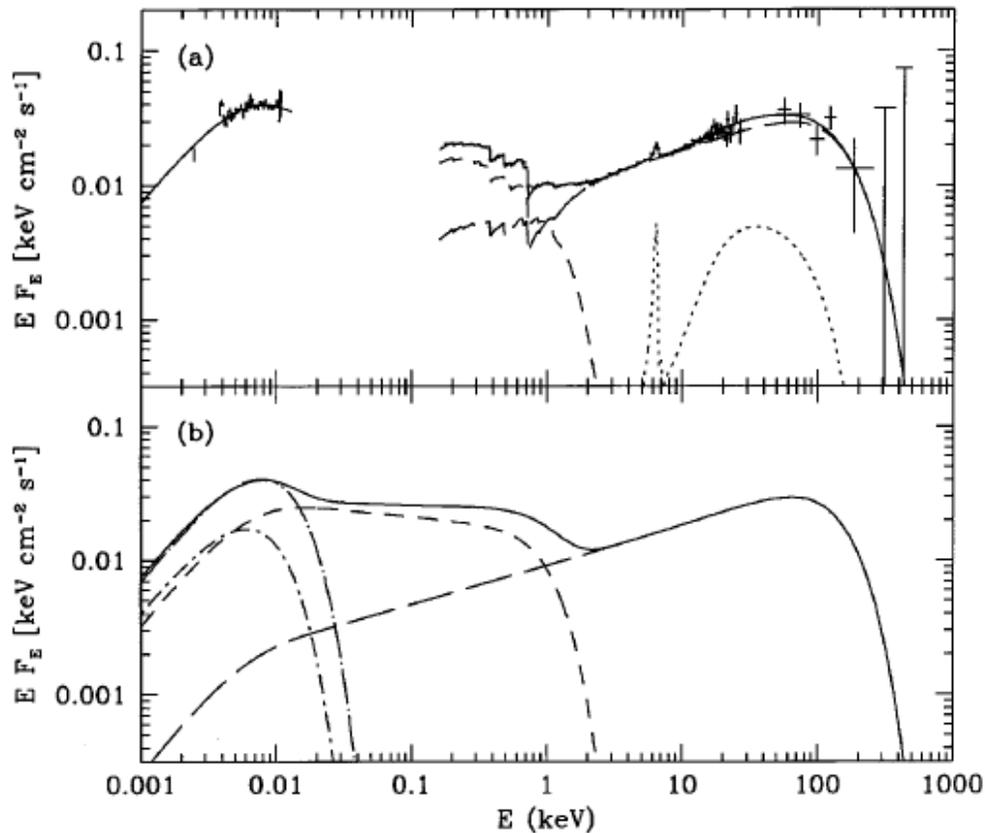


Figure 1.8 a) shows how the spectrum built up from repeated thermal Compton up scattering events for optically thin ( $\tau \lesssim 1$ ) material. A fraction  $\tau$  of the seed photons (red) are boosted in energy by  $1 + 4\Theta$  and then these form the seed photons for the next scattering, so each scattering order (thin lines: blue in electronic version) is shifted down and to the right by the same factor, as indicated by the arrows (cyan), giving a power law (green solid line). b) shows that the same spectral index can be obtained by higher  $\Theta$  and lower  $\tau$  but the wider separation of the individual scattering orders result in a bumpy spectrum (green solid line) than a smooth power law (green dotted line).

# AGN

- 3 Broad bands of energy
- Disk dominates in optical-UV
- Comptonization in X-ray
- Reprocessed radiation in IR



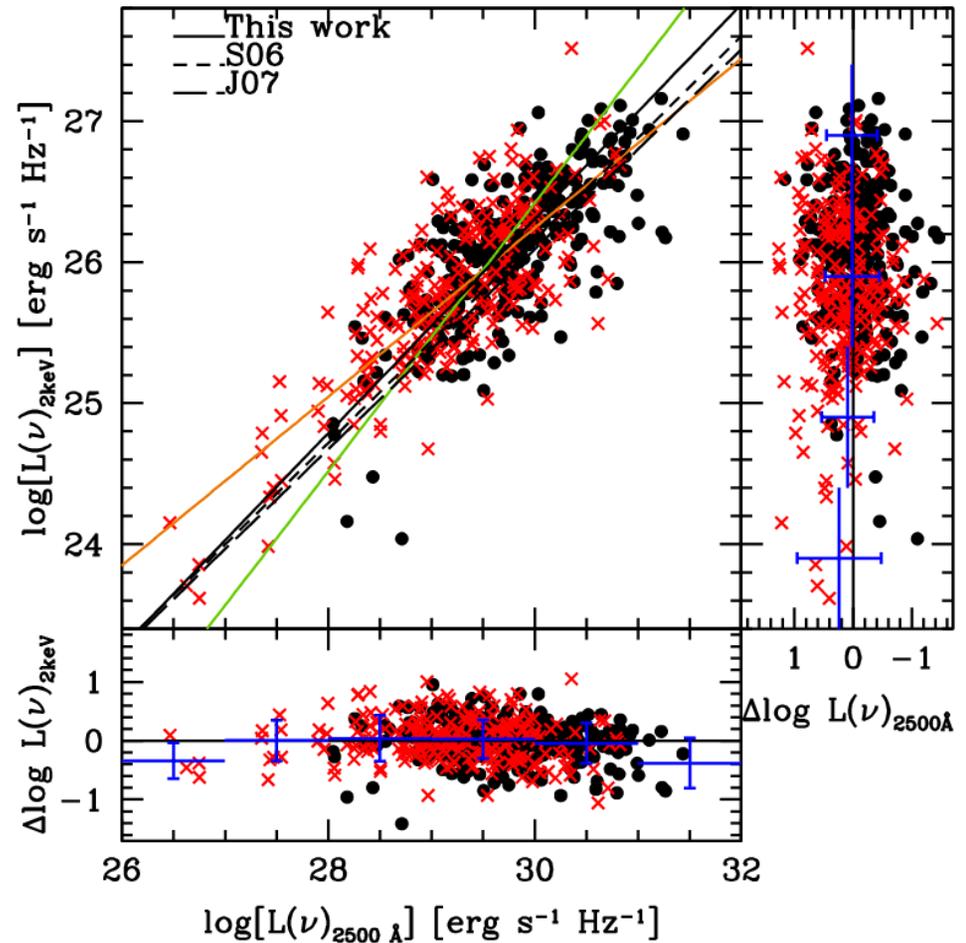
Magdziarz et al 1998

## More On BH Spectra

- Relationship of components
- Why do we think disk exists
- Geometry of central regions
- Reprocessing- how can we learn about the material in and around the black hole from spectral and temporal signatures in the spectra
- Spin and its influence

# X-ray to UV Relationship

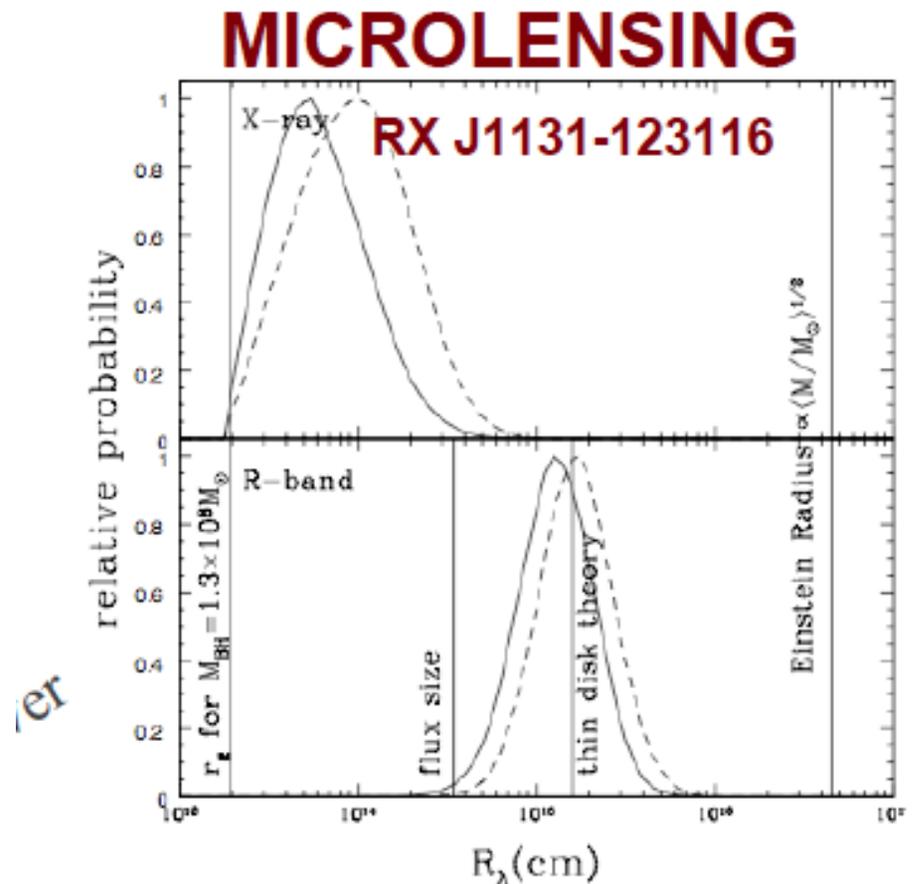
- Over  $10^3$  in luminosity the UV and x-ray track each in type I AGN
- Direct connection of disk emission to x-rays



# How do we know that there really is a disk??

- Recent microlensing observations of a few QSOs have 'resolved' the x-ray and optical sources
- The optical source size and dependence of luminosity on wavelength are consistent with standard disk theory- e.g. Microlensing perturbations to the flux ratios of gravitationally lensed quasar images can vary with wavelength because of the chromatic dependence of the sources apparent size.

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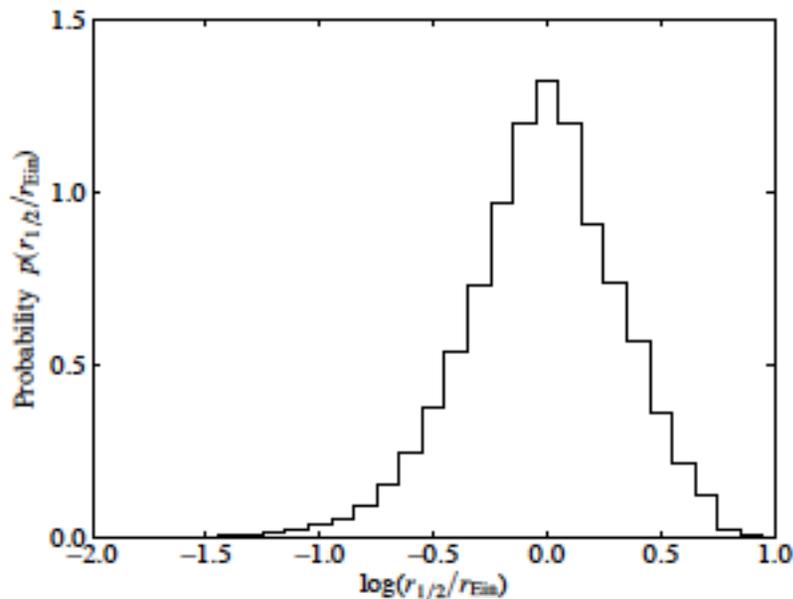
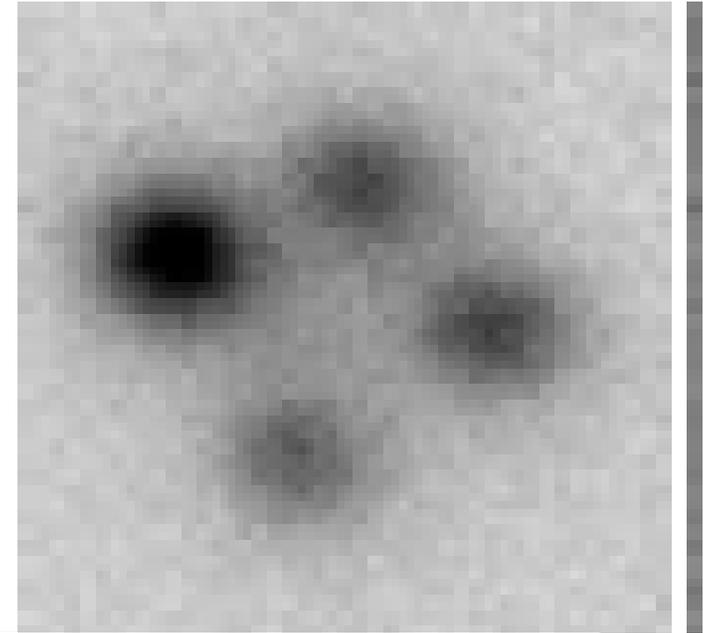
**X-rays from  $10 R_g$   
(Optical  $70 R_g$ )**

**Chartas et al. 2009  
Dai et al. 2009**

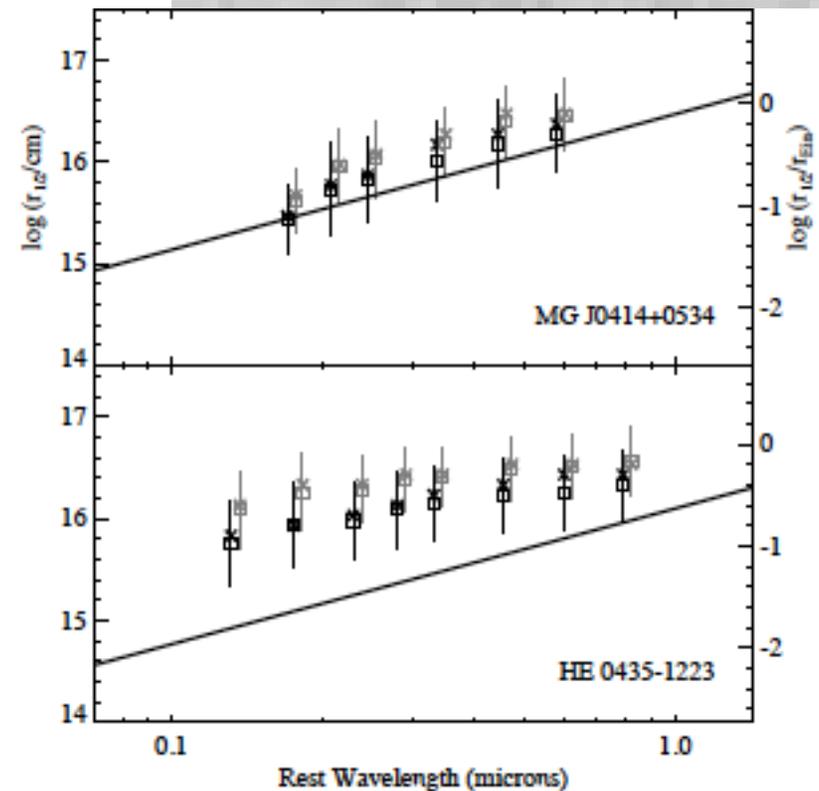
# MicroLensing

- As we saw last time in a disk  $T(r) \sim T_{\max} r^{-3/4}$
- Writing it out in full
- $T_{\text{eff}}(r) = \left\{ (3G^2 M_{\text{BH}}^2 m_p f_{\text{Edd}}) / (2c\sigma_{\text{SB}} \epsilon r^3) \right\}^{1/4} (1 - r_{\text{in}}/r)^{1/4}$ 
  - $f_{\text{Edd}}$  is the Eddington ratio,  $M_{\text{BH}}$  is the BH mass,  $\sigma_{\text{SB}}$  the Stefan Boltzman constant,  $\epsilon$  is the relation between energy generation and  $mc^2$
- Thus the disk emits most of its short wavelength light at small radii
- Integrating the disk temperature profile (Blackburne et al 2010) one gets that the half light radius as a function of size is
- $r_{1/2} \sim 1.7 \times 10^{16} \text{cm} (M_{\text{BH}}/10^9 M_{\odot})^{2/3} (f_{\text{Edd}}/\epsilon)^{1/3} (\lambda/\mu)^{4/3}$
- In other words the effective size  $\sim \lambda^{4/3}$

- The size of the disk is in Einstein radius units which are converted to cgs units with a model of the grav potential of the lensing galaxy
- To compare to model disks, have to assume and  $M_{\text{BH}}, f_{\text{Edd}}/\epsilon$

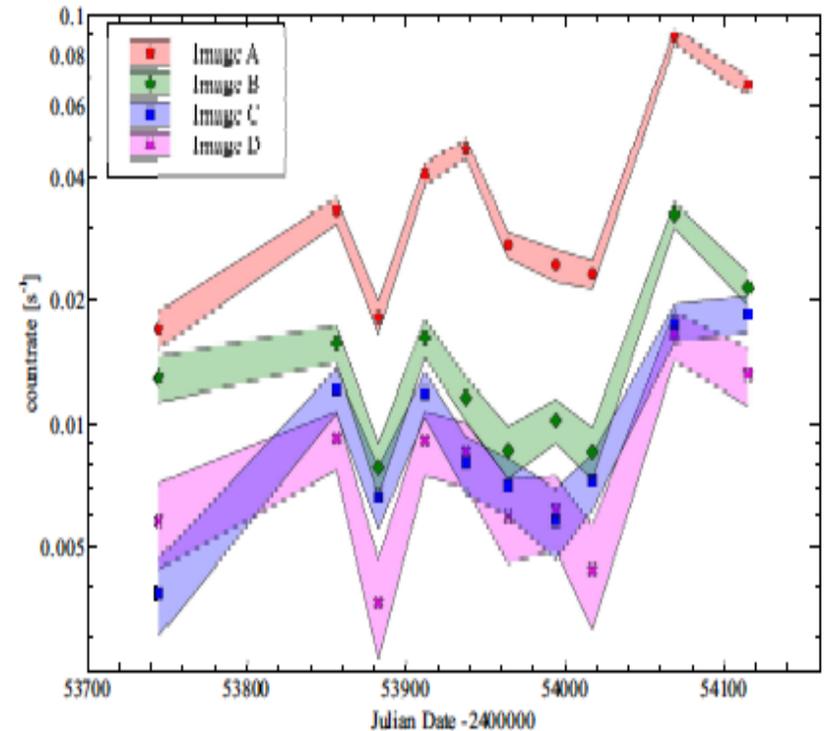
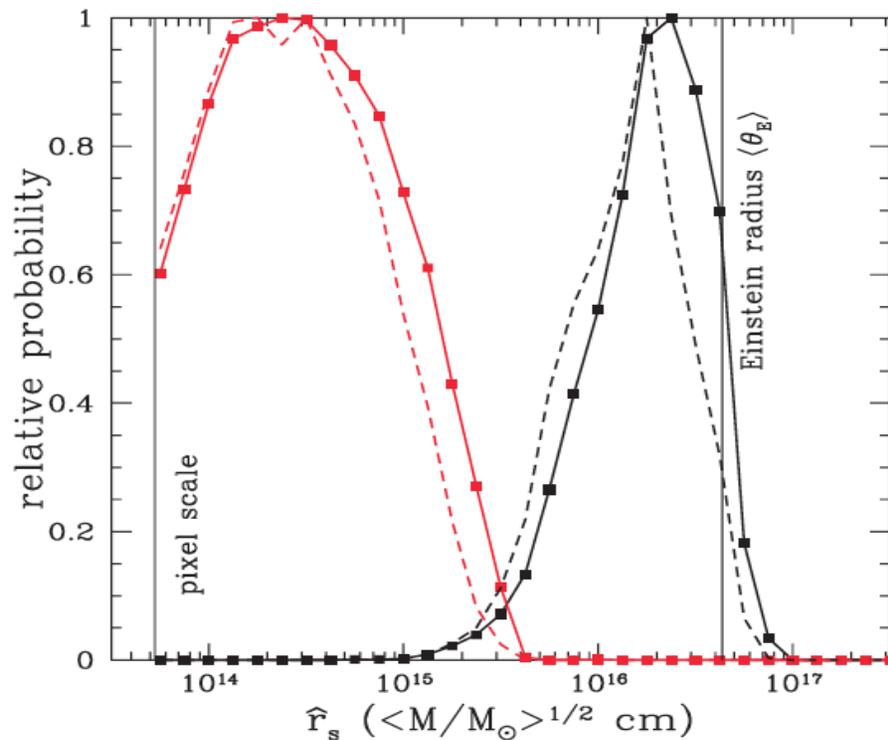
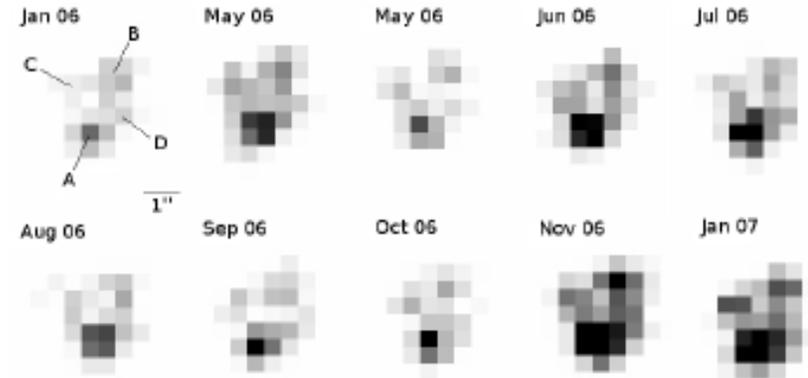


**Figure 5.** Posterior probability distribution for the size of PG 1115 in the  $i'$  band, resulting from considering both  $i'$ -band and X-ray flux ratios. The



# X-ray MicroLensing Also

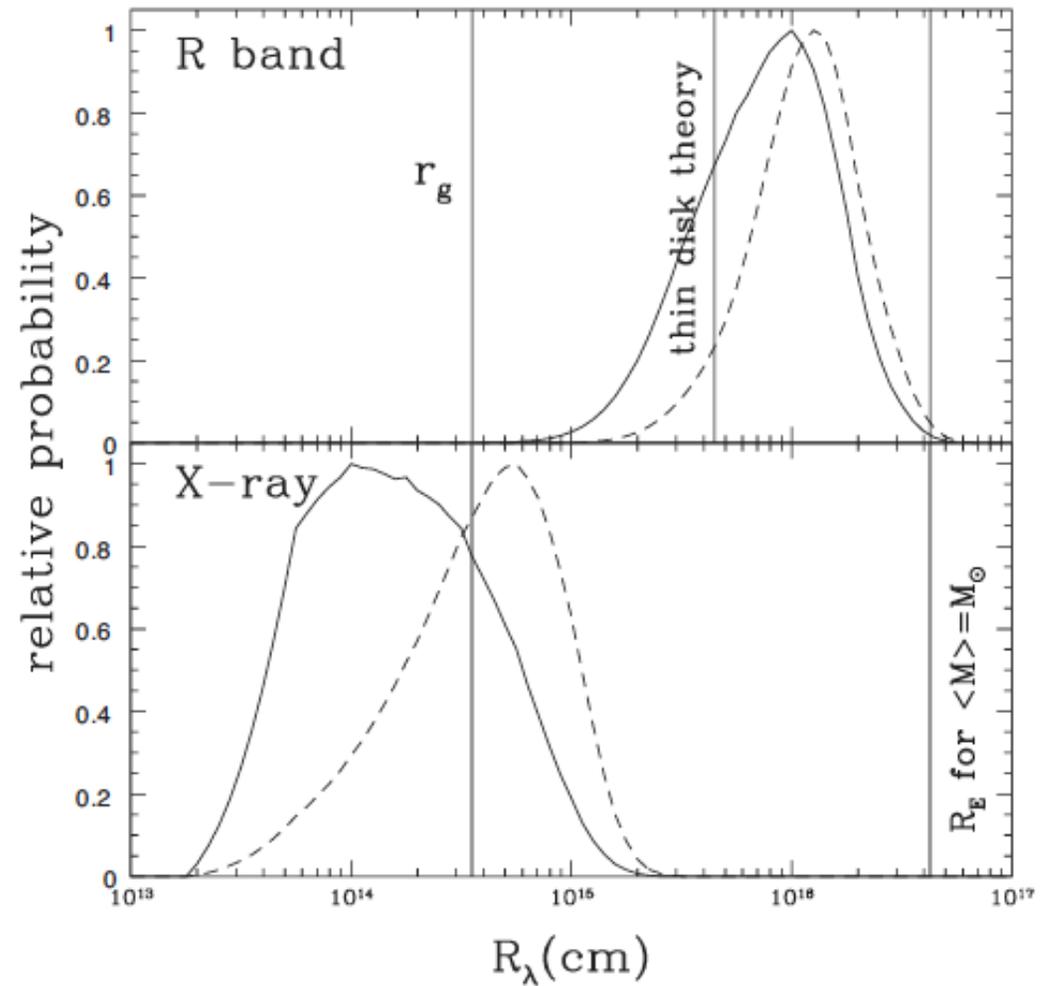
- Probability distribution of optical and x-ray source size (Zimmer et al 2010 , Chartas et al 2008)



# Results are In Rough Agreement With Theory

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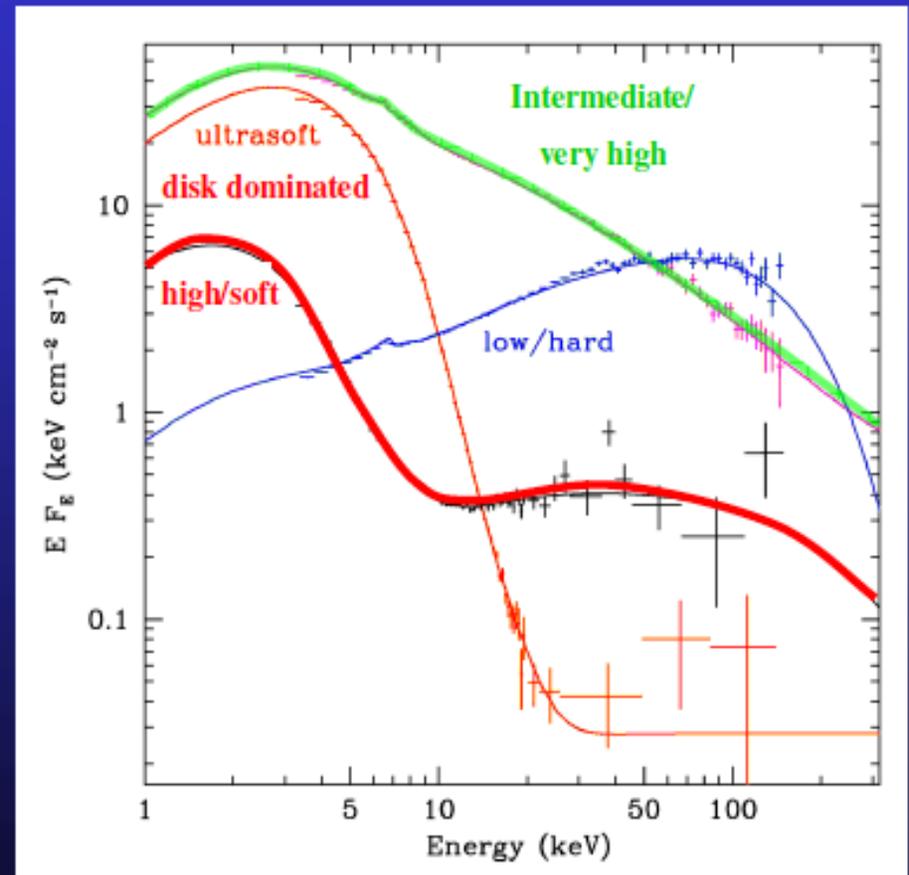
- Chartas 2008
- X-rays are emitting near the Schwarzschild radius
- Optical  $\sim 10x$  further out



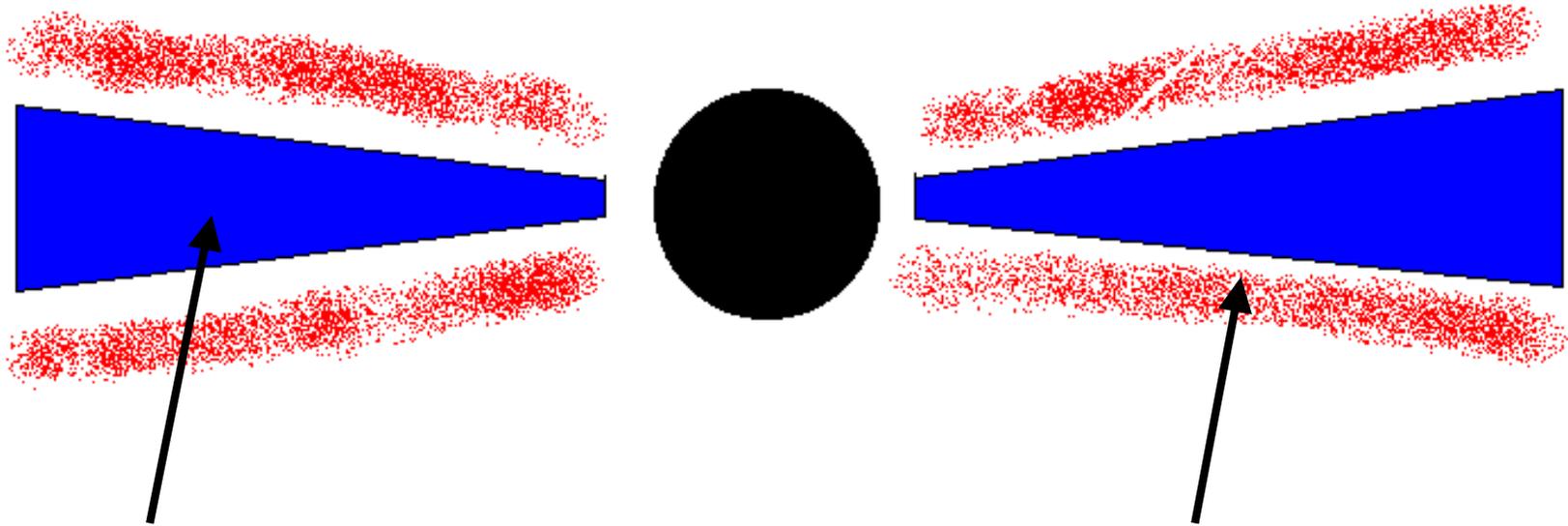
# Spectral States of Black Hole Binaries

- thought to be due to changes in disk structure - not seen in AGN (yet)

- Dramatic changes in continuum – single object, different days
- Underlying pattern in all systems
- High  $L/L_{\text{Edd}}$ : soft spectrum, peaks at  $kT_{\text{max}}$  often disc-like, plus tail
- Lower  $L/L_{\text{Edd}}$ : hard spectrum, peaks at high energies, not like a disc (McClintock & Remillard 2006)



# Where do the Spectral Components Arise?



*Optically-thick part of the accretion disk emits thermal spectrum... black body radiation with*

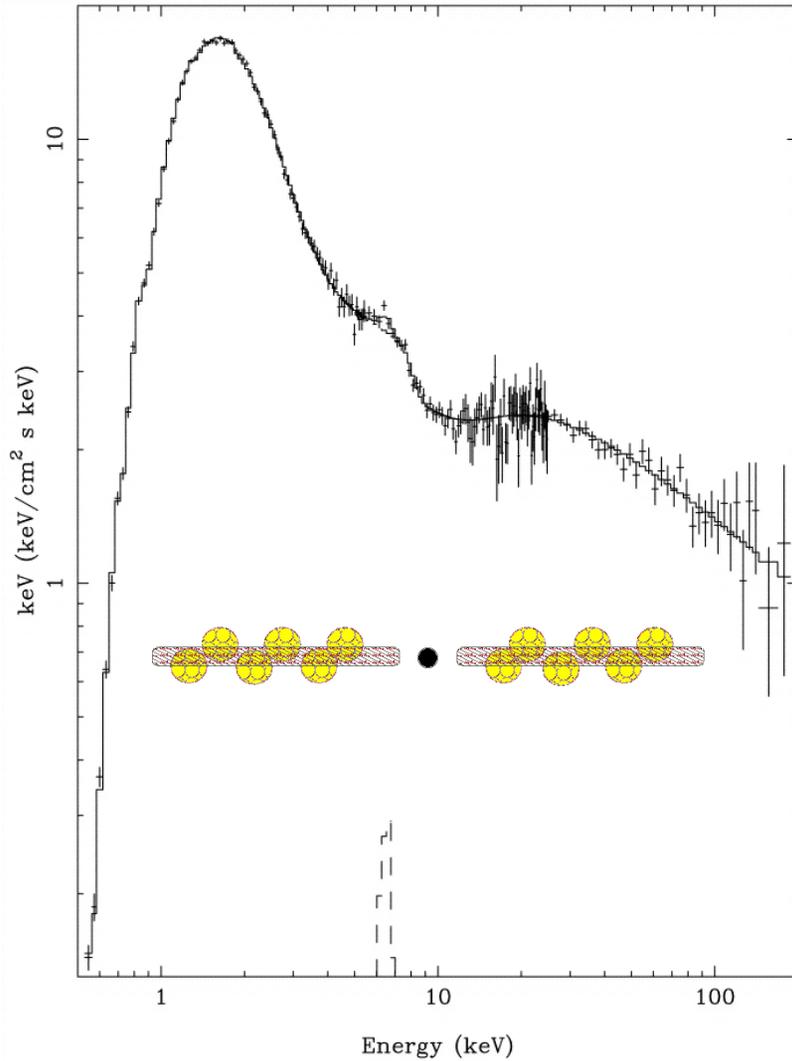
$$T = \left( \frac{3GM\dot{M}}{8\pi r^3 \sigma_{\text{SB}}} \right)^{1/4}$$

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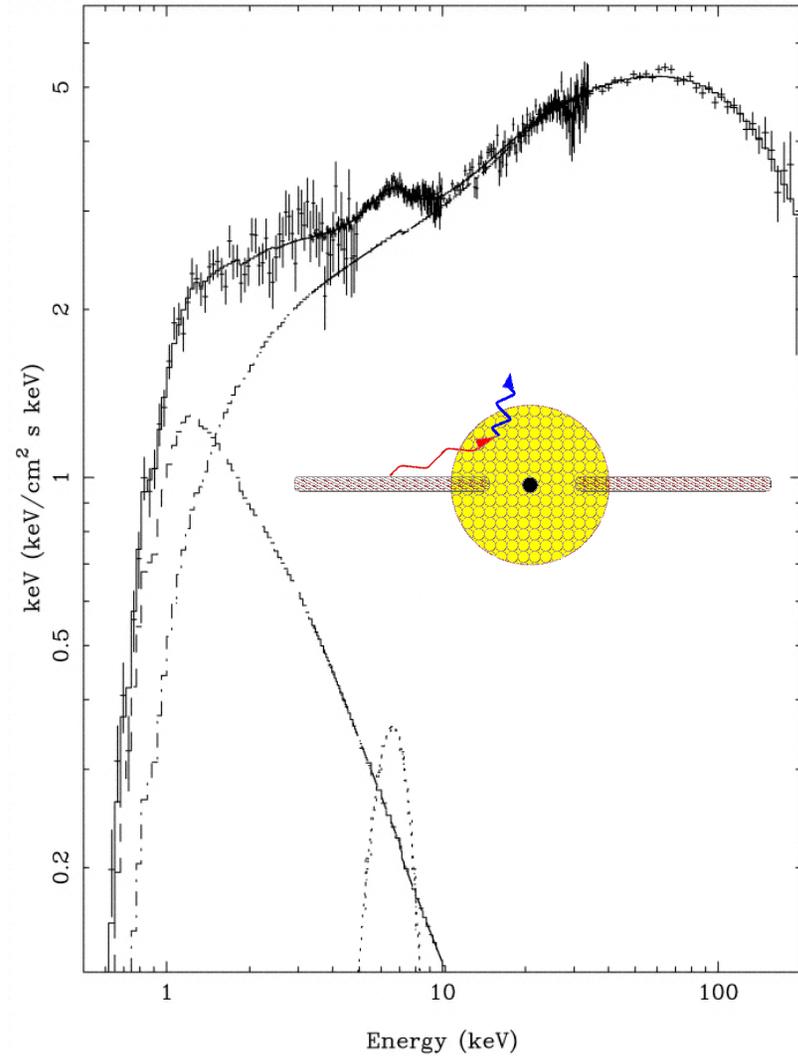
*X-ray "tail" probably comes from a hot corona that sandwiches the disk... inverse Compton scattering of thermal disk emission by electrons with  $T \sim 10^9 \text{K}$*

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# Cygnus X-1 Spectral States and Ideas on Geometry



Soft (high) state; thermal disk  
4/12/11  
emission + hard tail



Hard (low) state; hard X-ray  
spectrum, little/no thermal disk

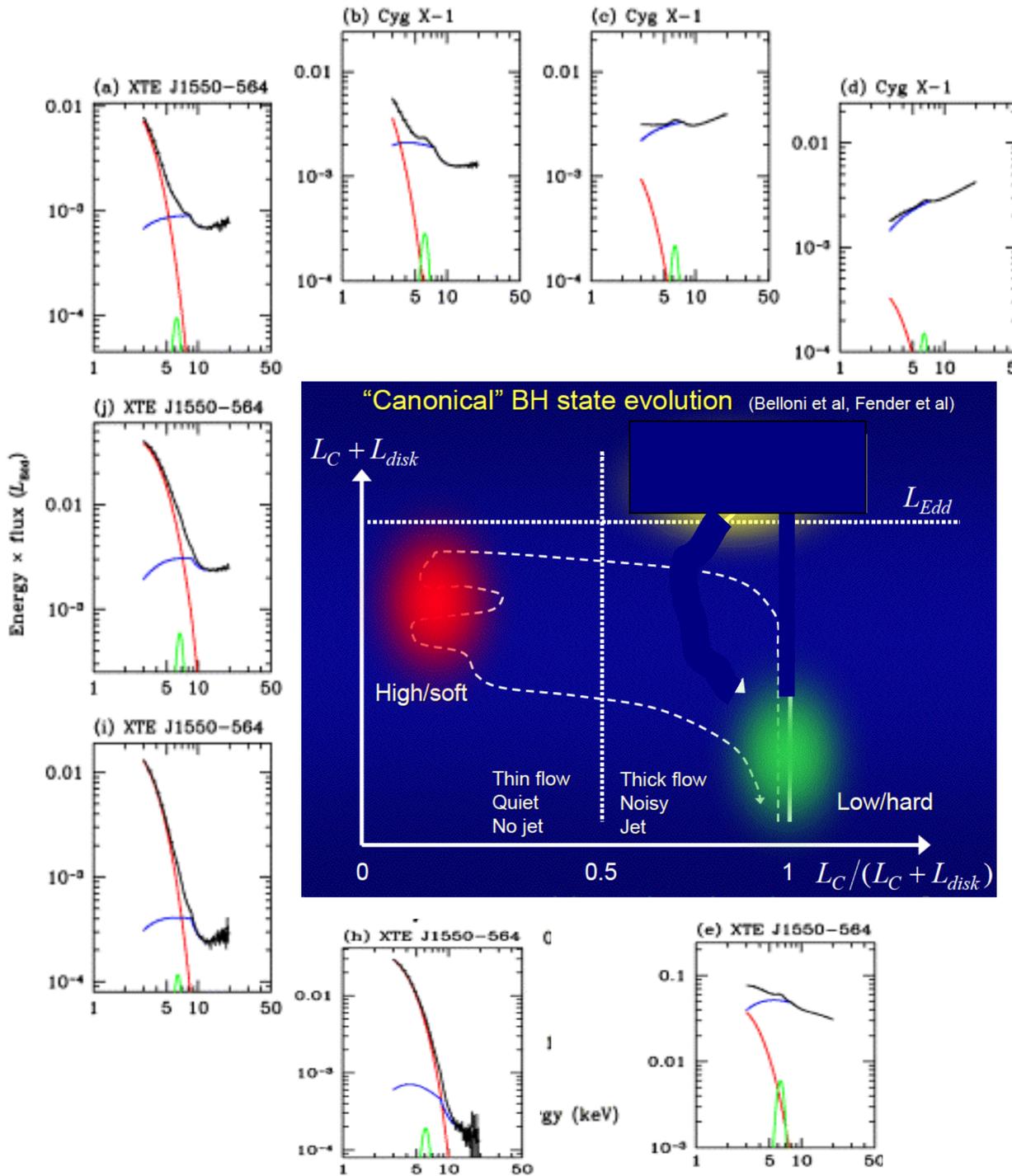
*Wide Variety of Spectra  
in Galactic Accreting  
Black Holes- (Gierlinski  
and Done 2003)*

*Redline is accretion disk*

*Blue line is from  
Comptonization*

*The wide range in the  
ratio of the two is  
related to the  
Eddington ratio- states*

*At  $L \rightarrow L_{Edd}$  Spectrum  
more disk dominated*



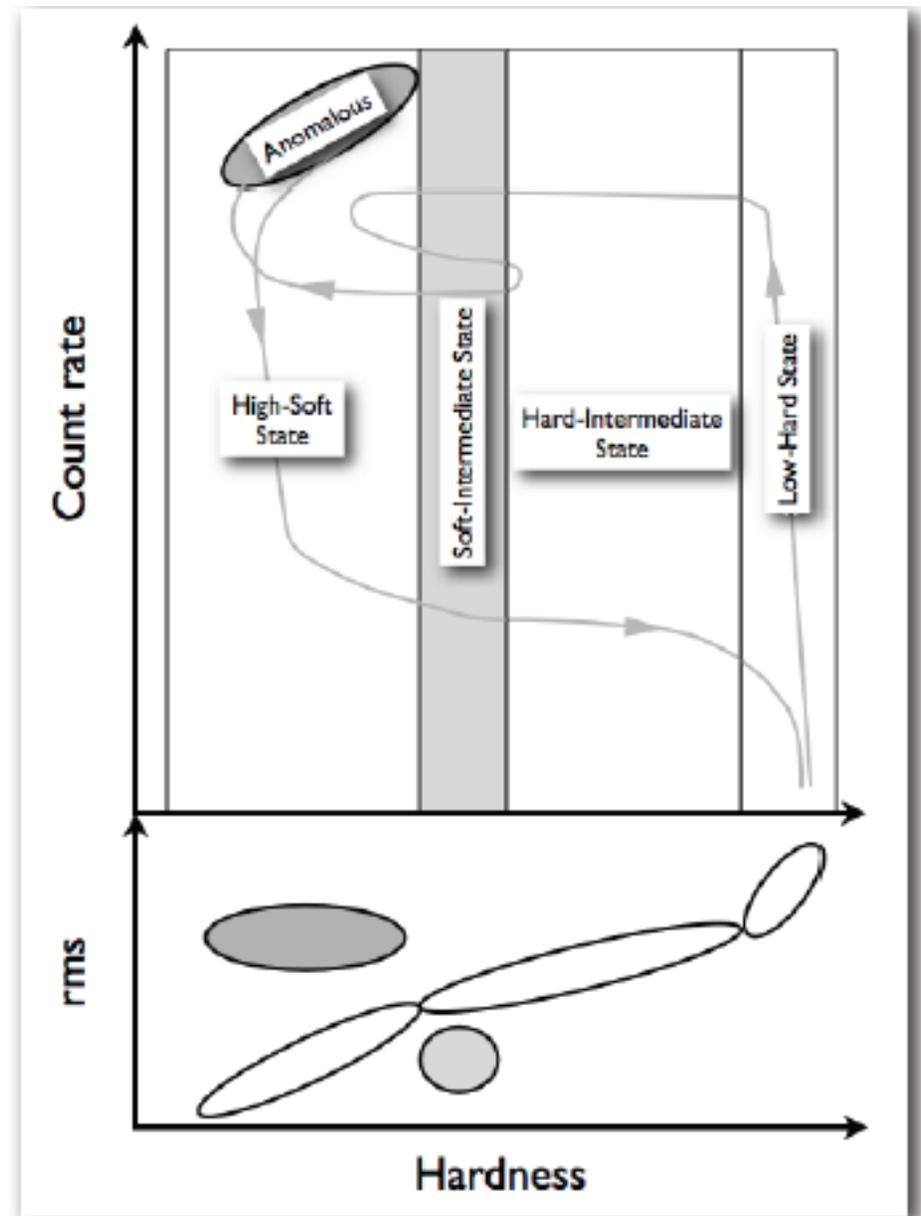
*In galactic black holes there is a pattern to the spectral/intensity changes*

*The high soft state is disk dominated*

*The low hard state is dominated by the x-ray power law*

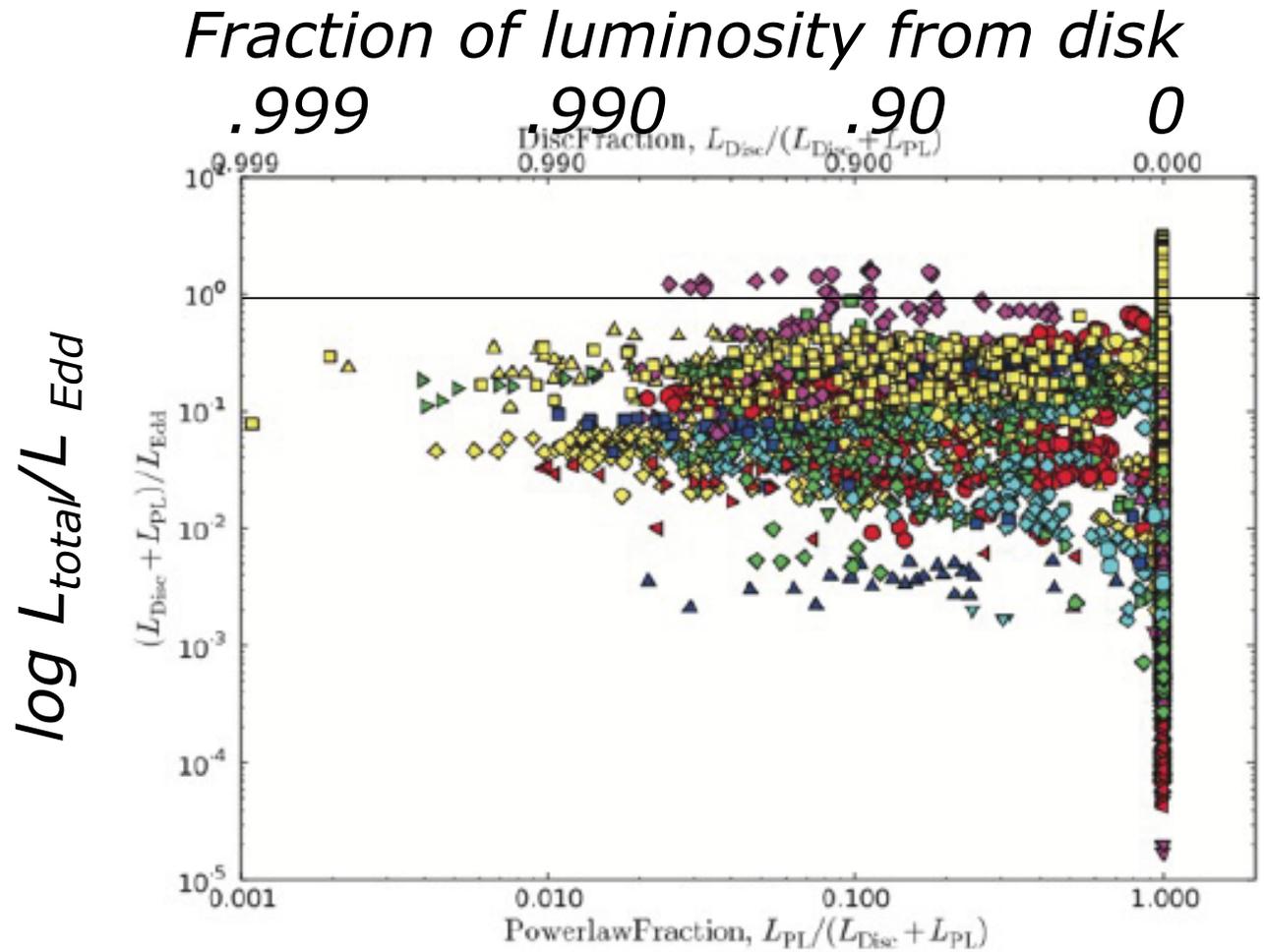
*The 'variability' - represented by the root mean square (RMS) variations is also related to the state*

*It is believed that these states are related to the geometry of the accretion flow*

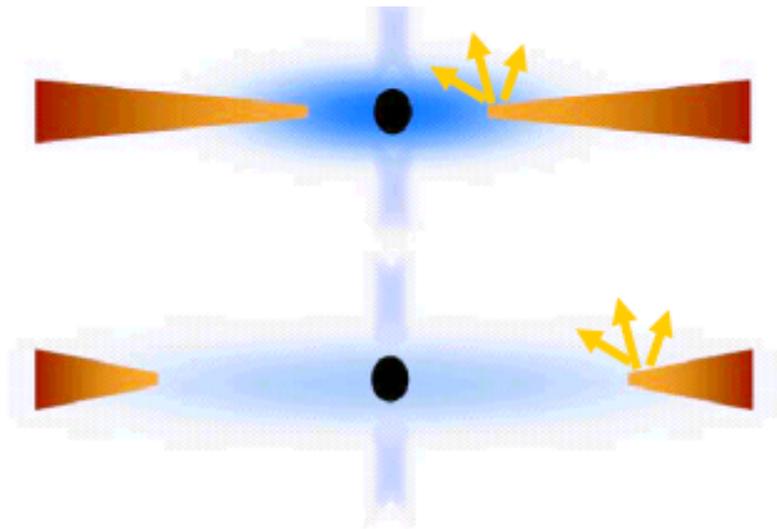
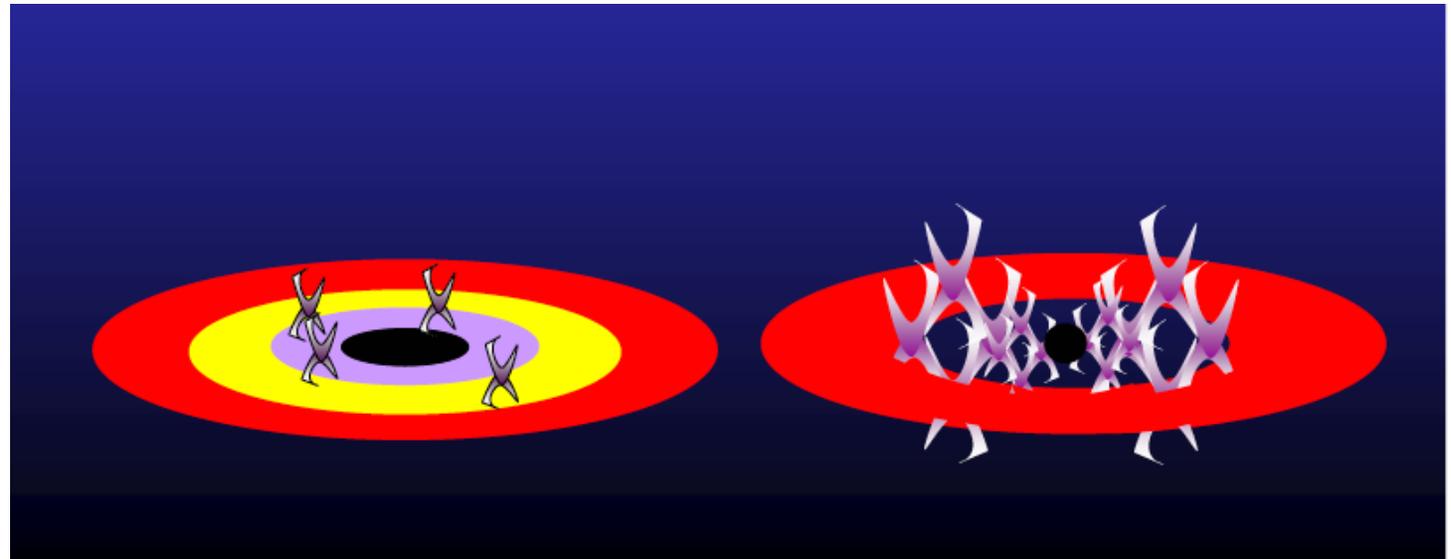


Belloni (2010)

- Many (but not all) black hole binaries follow a similar track
- (each color is a different object)

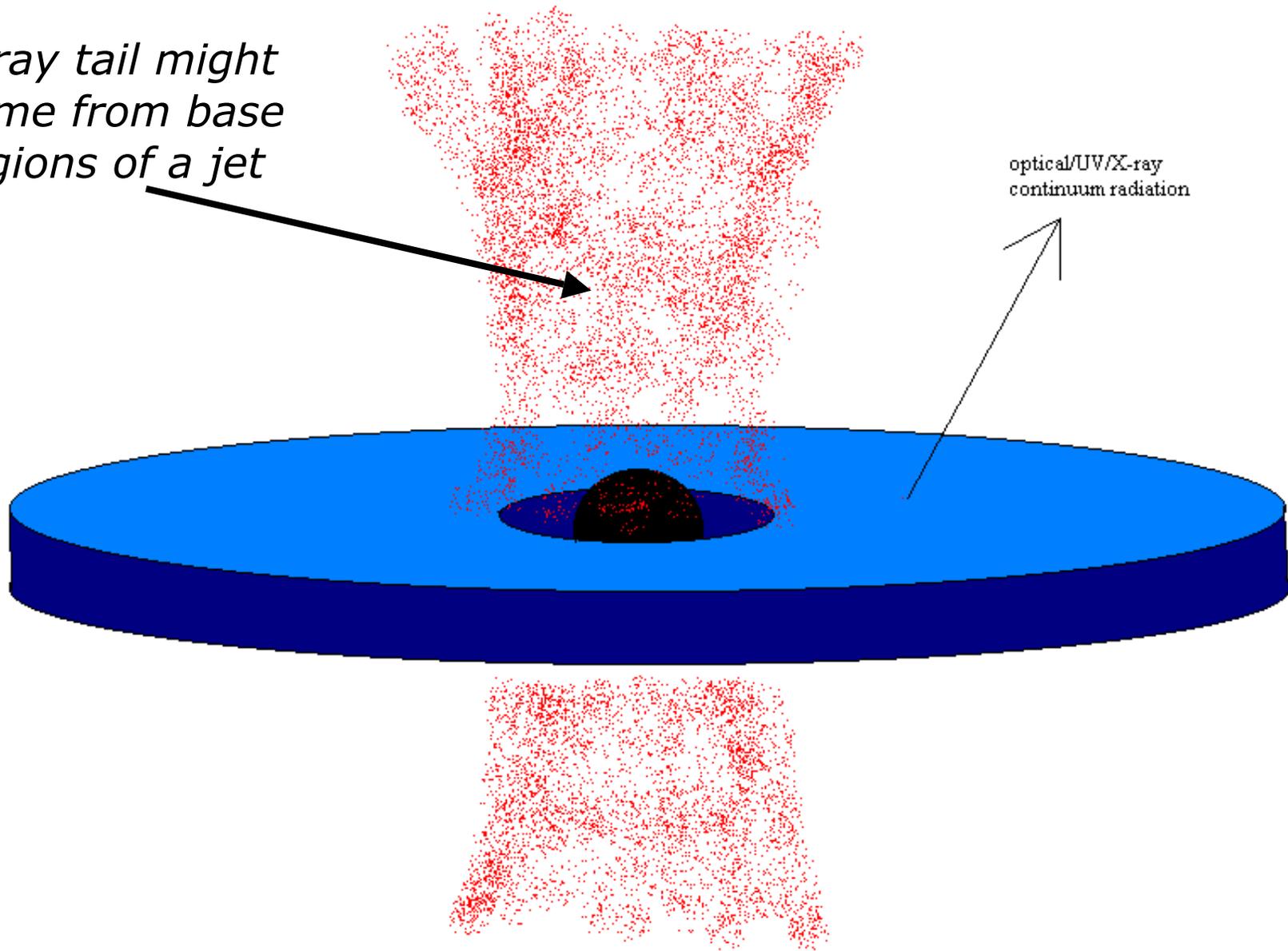


# Even More Possible Geometries



*From C. Done*

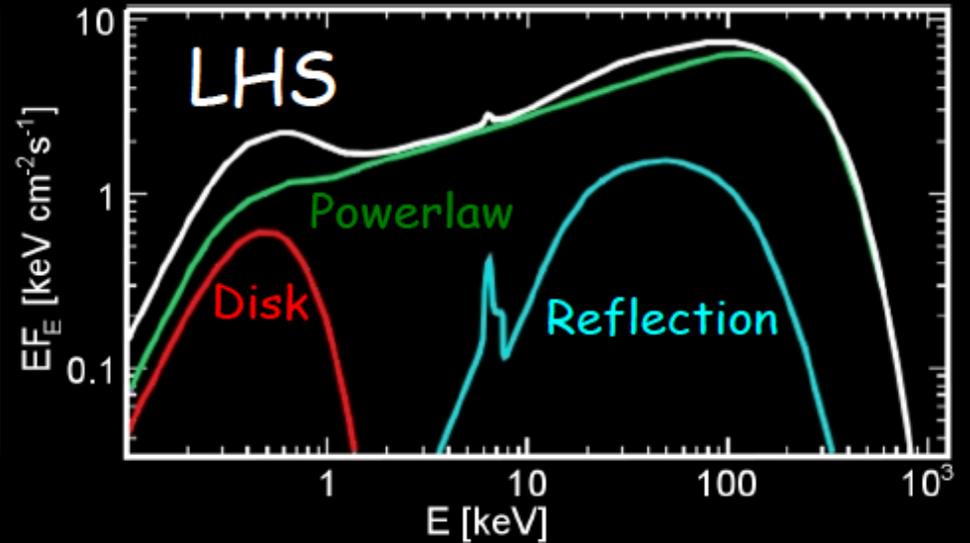
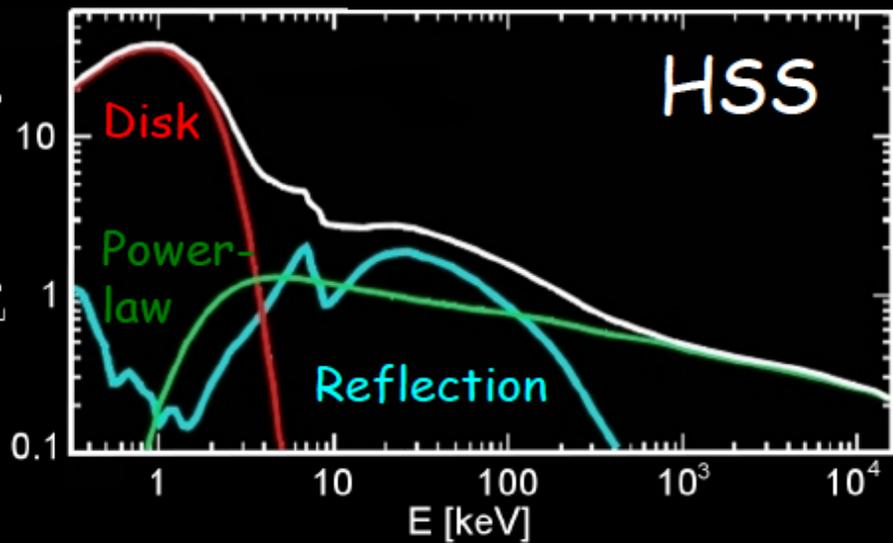
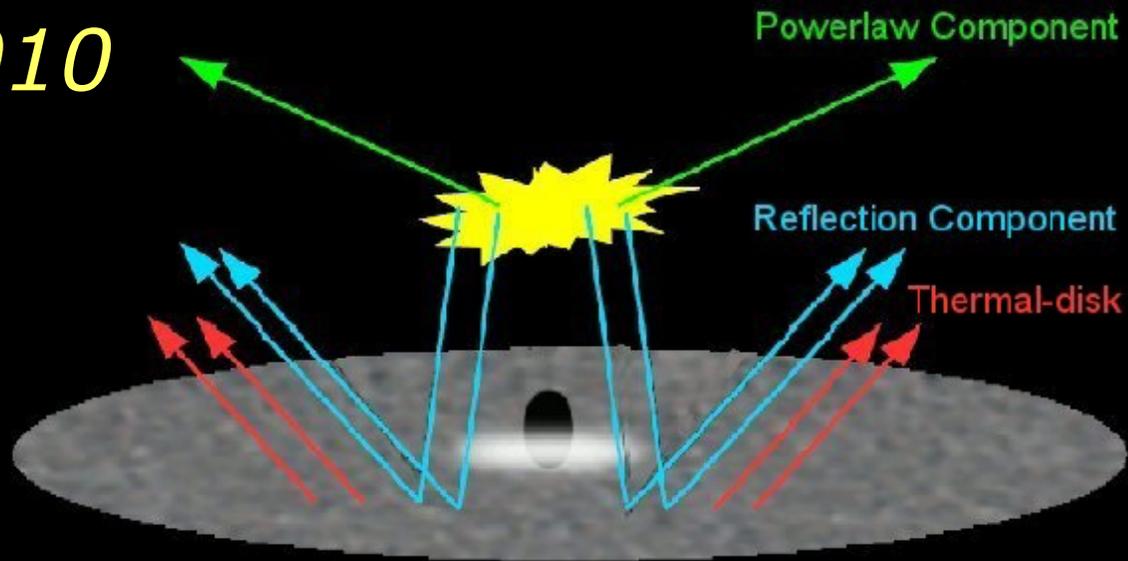
*X-ray tail might  
come from base  
regions of a jet*



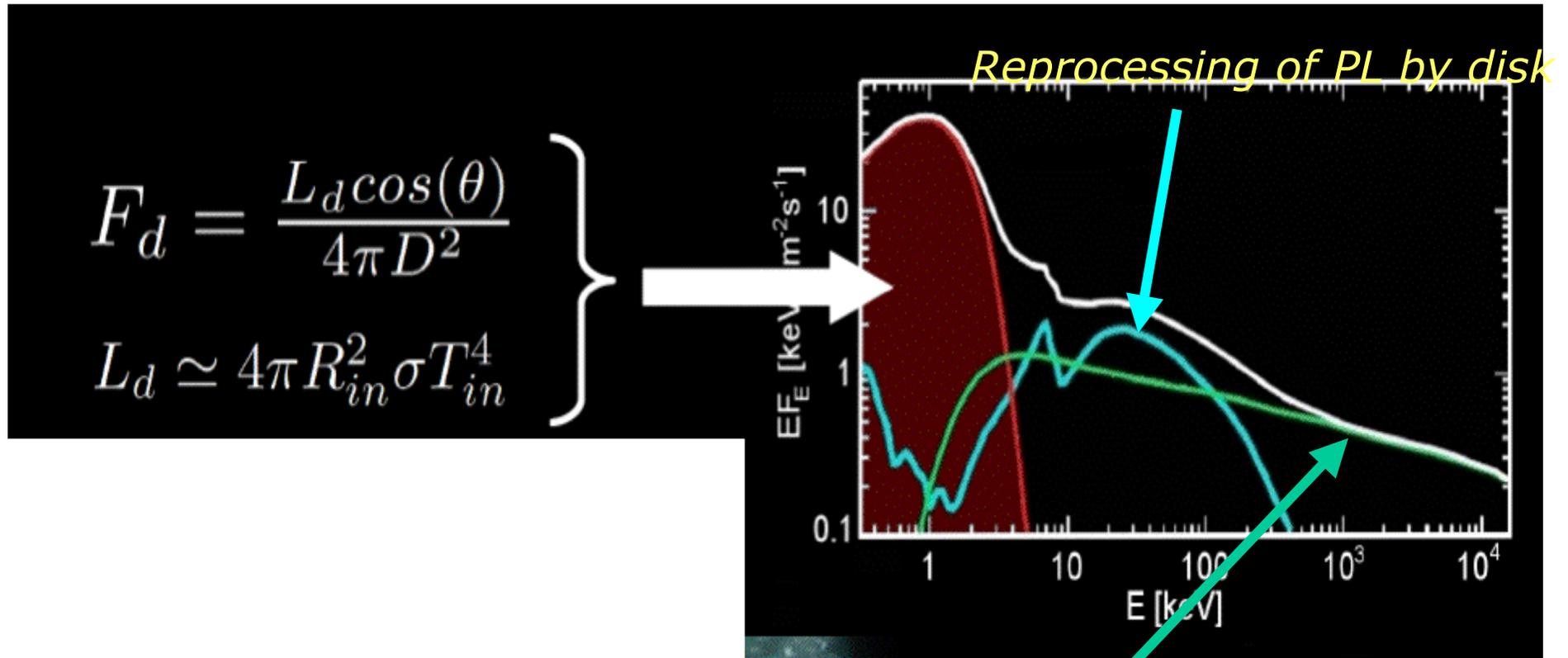
optical/UV/X-ray  
continuum radiation

# Galactic Black Hole Binaries

*Reis 2010*



# Components in High State- R. Reis 2010



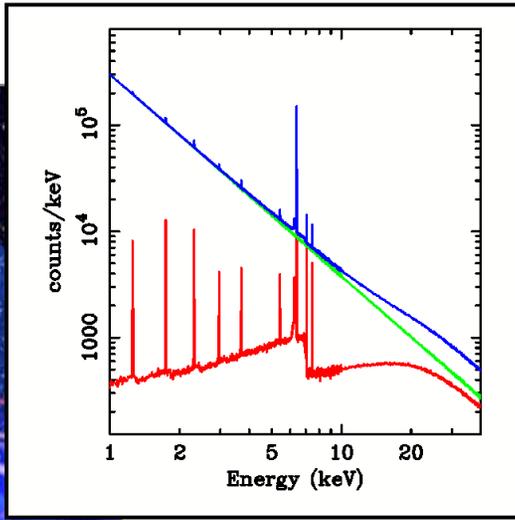
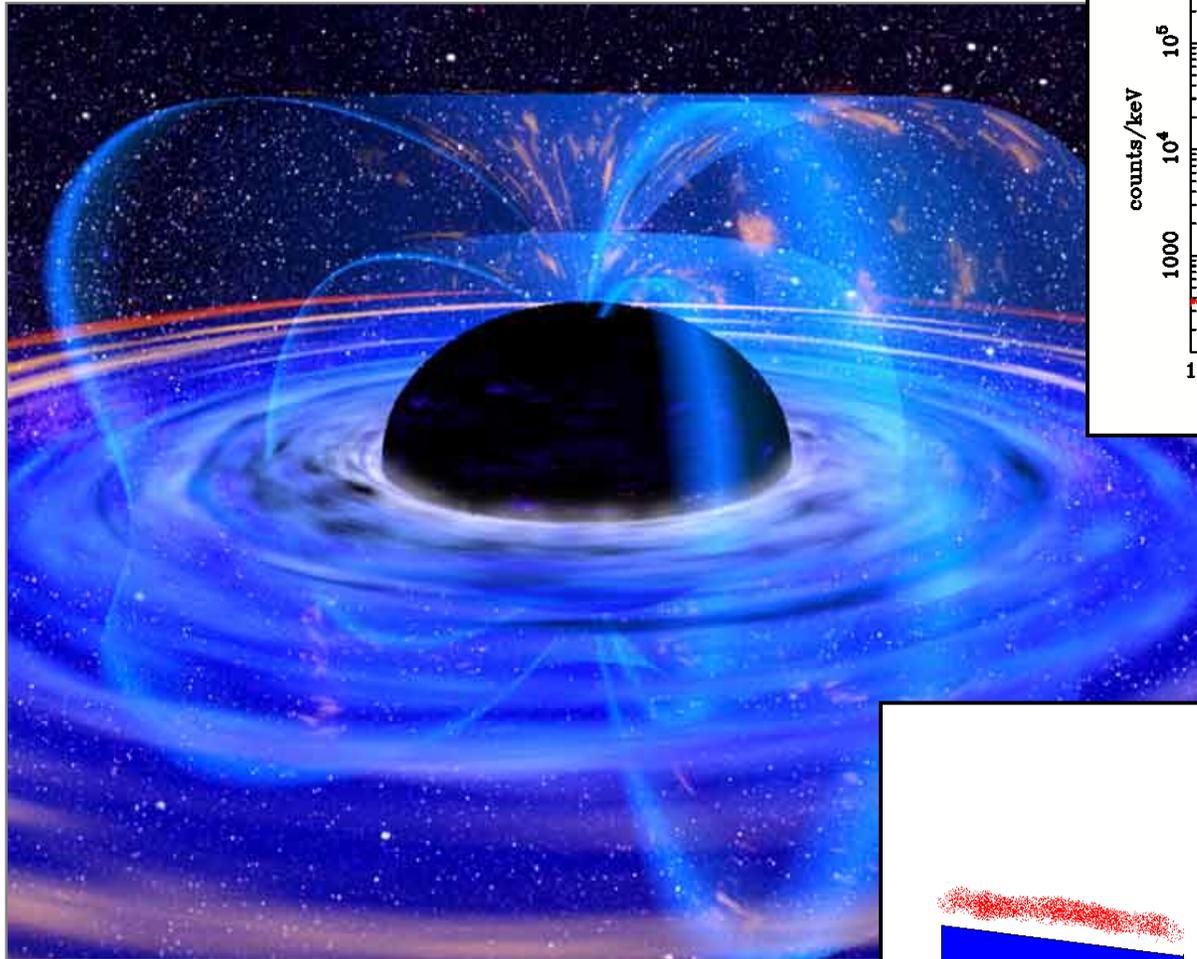
*'Power Law'- Comptonization*

## Today's Lecture and ...

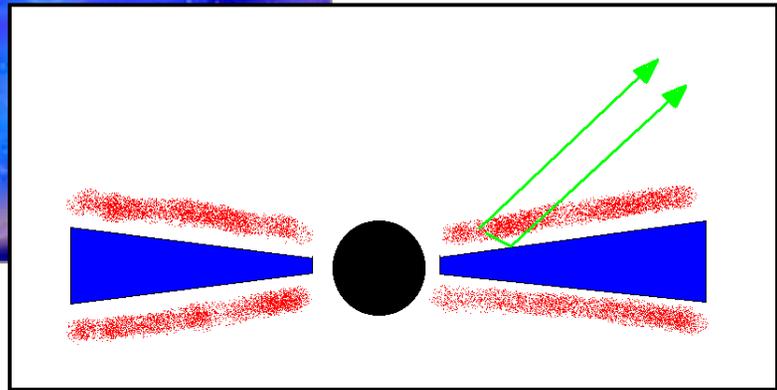
- Need your project titles on Thursday-----  
-----

Reprocessing- how can we learn about the material in and around the black hole from spectral and temporal signatures in the spectra

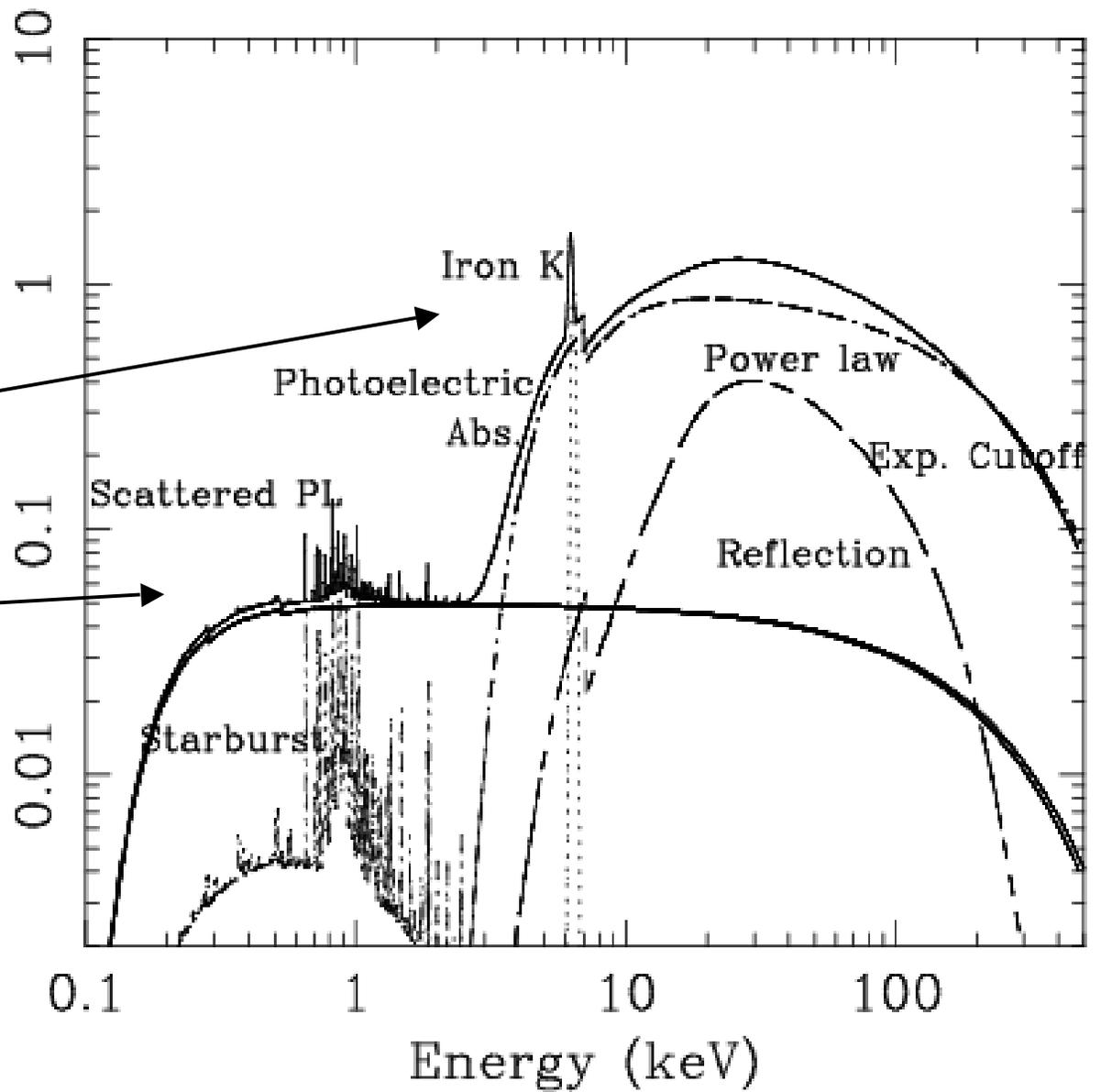
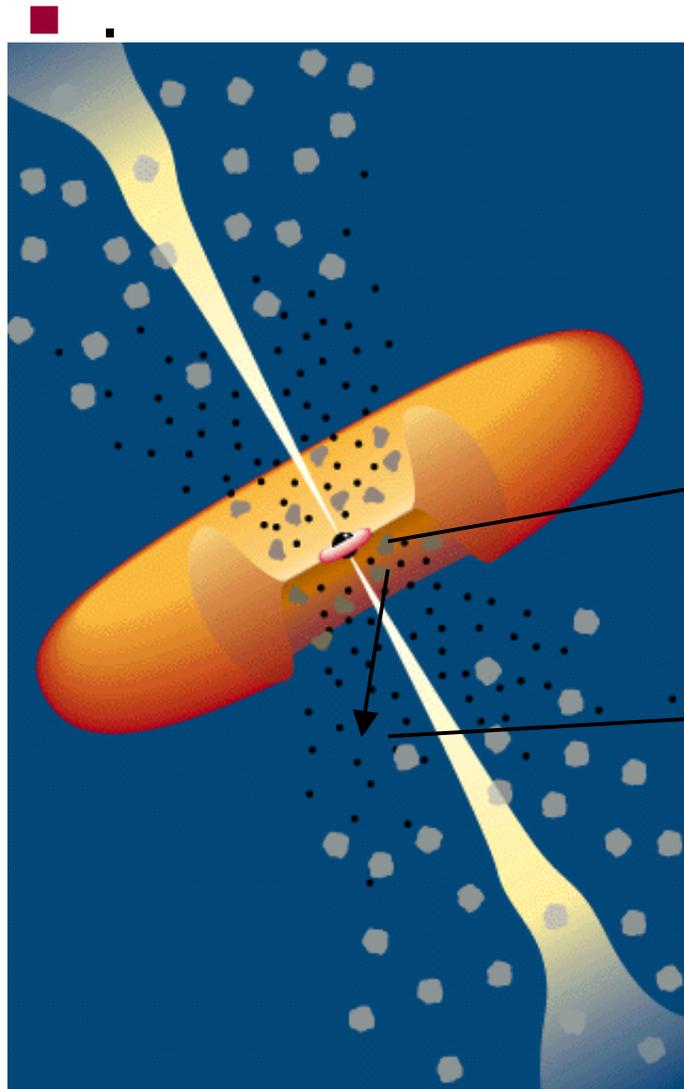
Spin and its influence



Reynolds (1996)

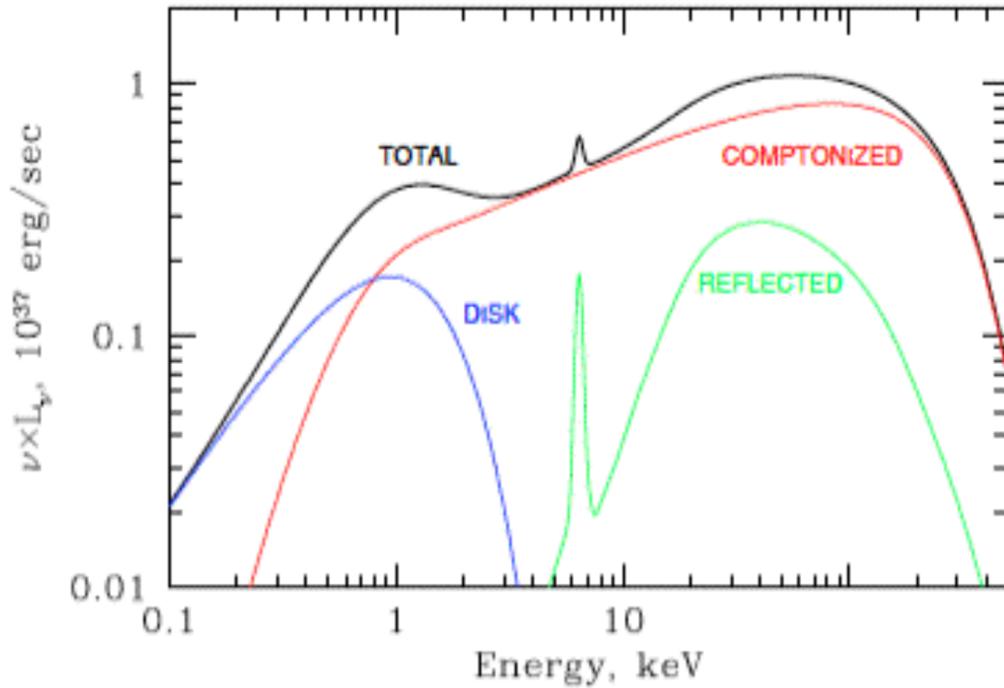


- X-ray "reflection" imprints well-defined features in the spectrum

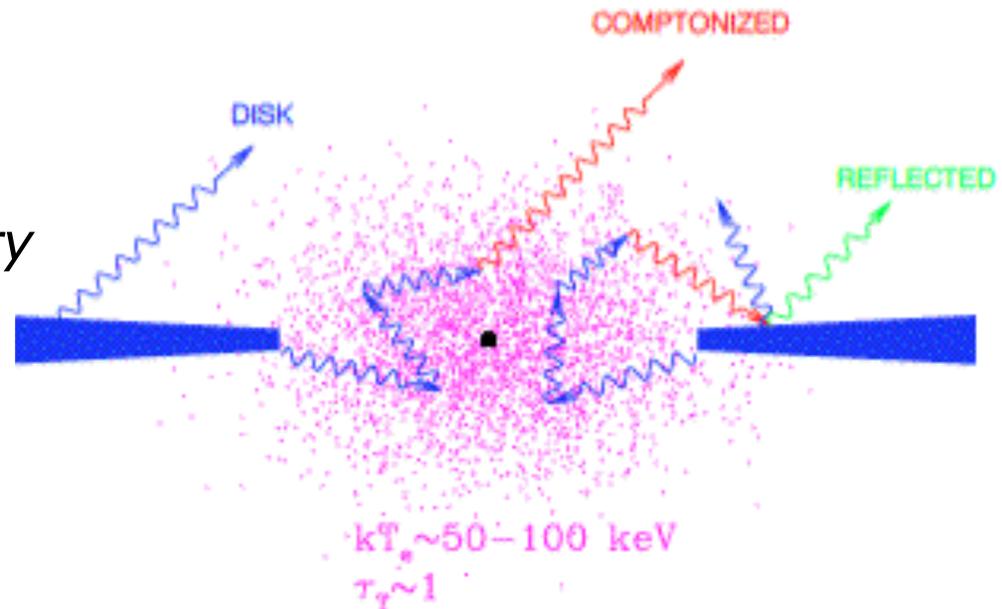


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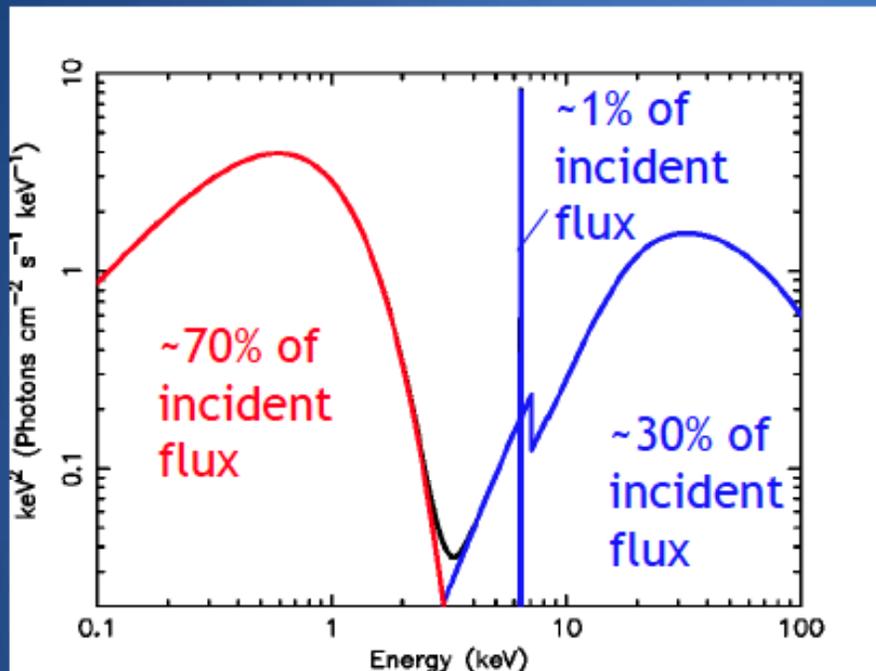
# Connection Between Source Geometry and Spectra in an Black hole binary



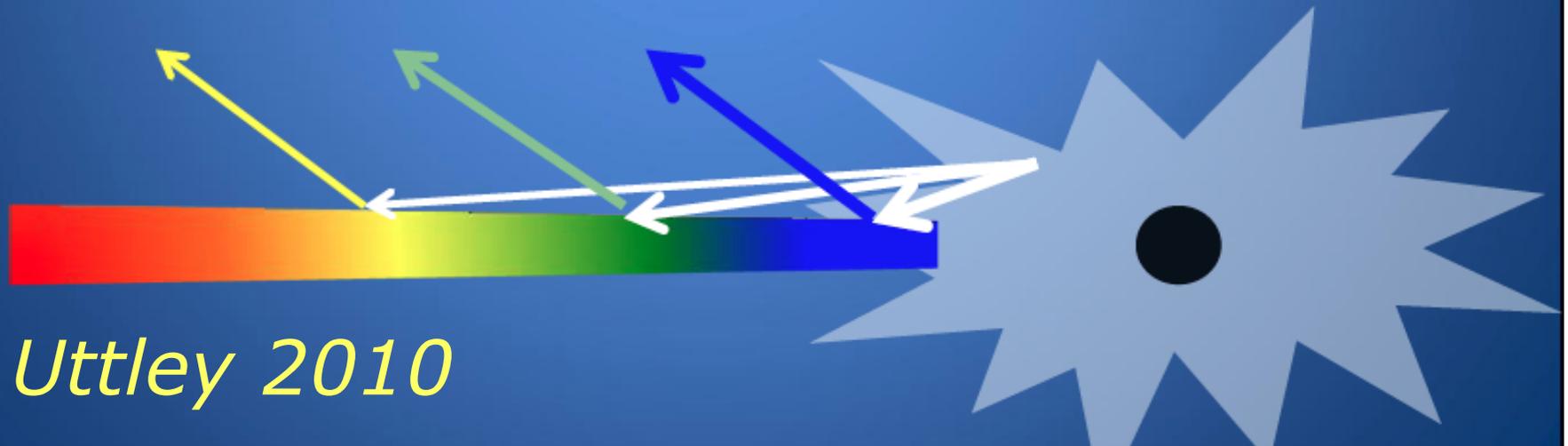
## An Alternative Geometry



# Disc X-ray reverberation

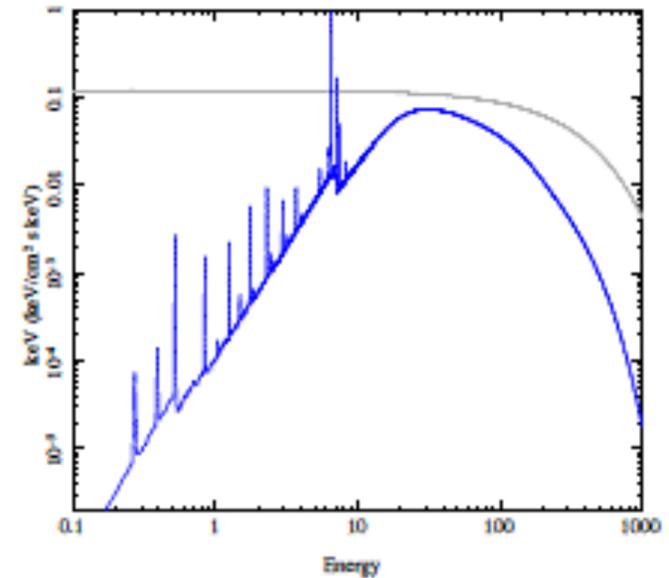
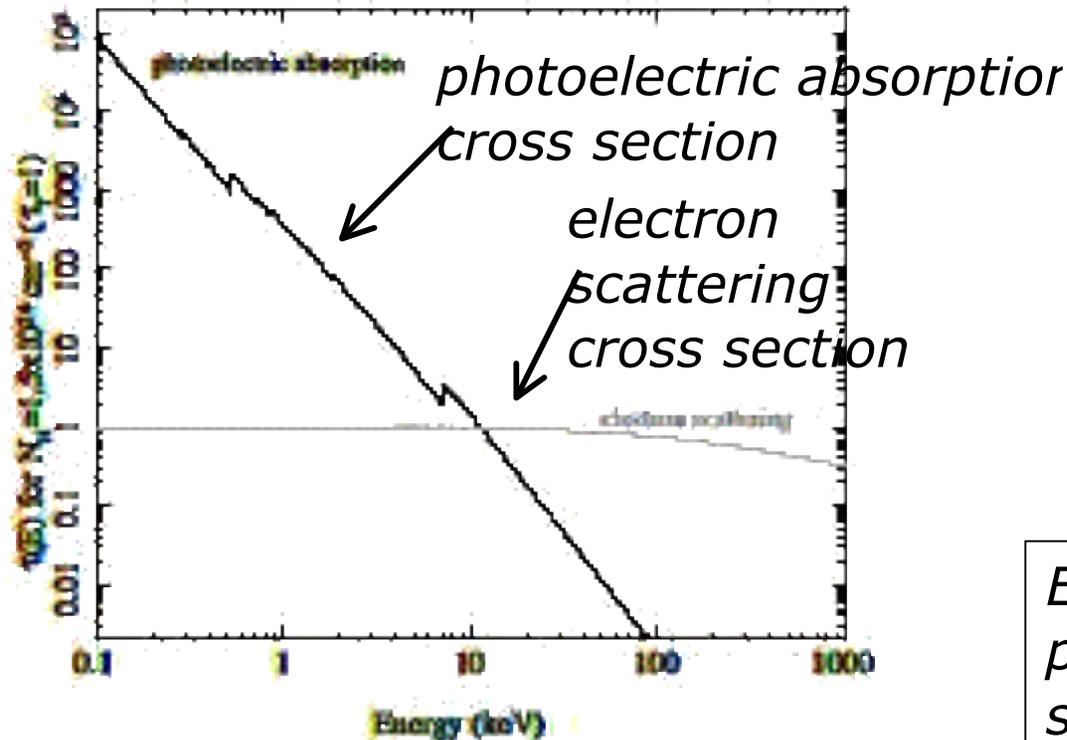


- ✧ X-rays from the continuum source (corona, jet base?) hit the disc
- ✧ Some are reflected (iron line and reflection continuum)
- ✧ The absorbed fraction is thermalised and re-emitted at the local disc temperature



*Uttley 2010*

# 'Reflection'- Reprocessing of Photons in the Disk

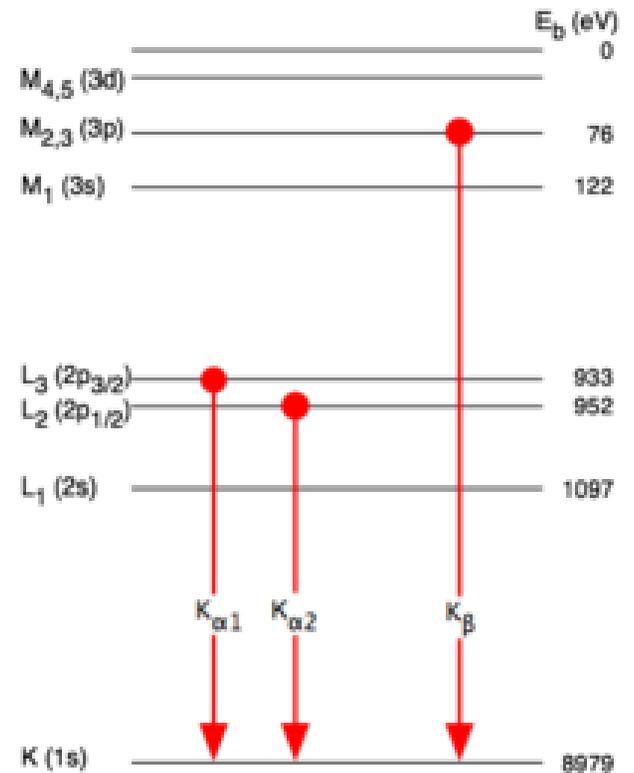
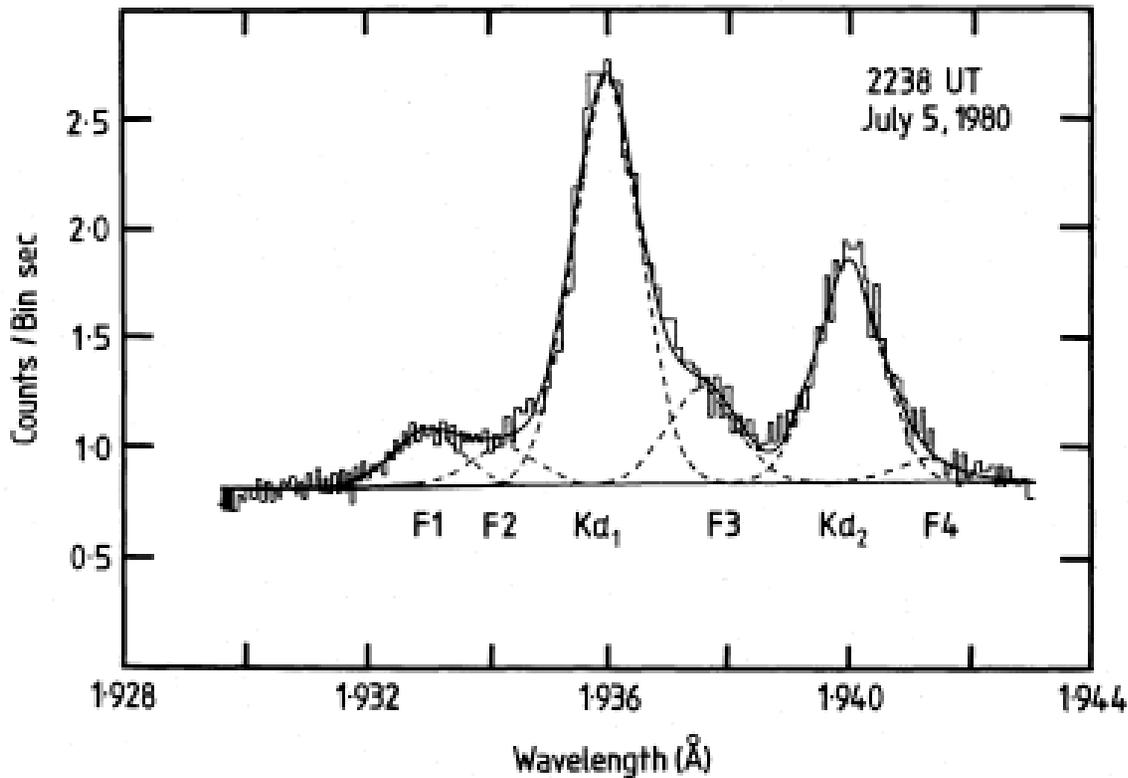


*Emission due to the two processes from a cold slab of thickness*

$$\tau_{\text{Thompson}} = 1$$

*The larger cross section at low energies of photoelectric absorption means that low E photons are absorbed not scattered and some are re-emitted as lines via fluorescence. Compton scattering reduces the energy of the high energy photons. The combination produces a characteristic peak in the spectrum.*

# Iron K $\alpha$ fluorescence from the Sun



*energy levels for Cu*

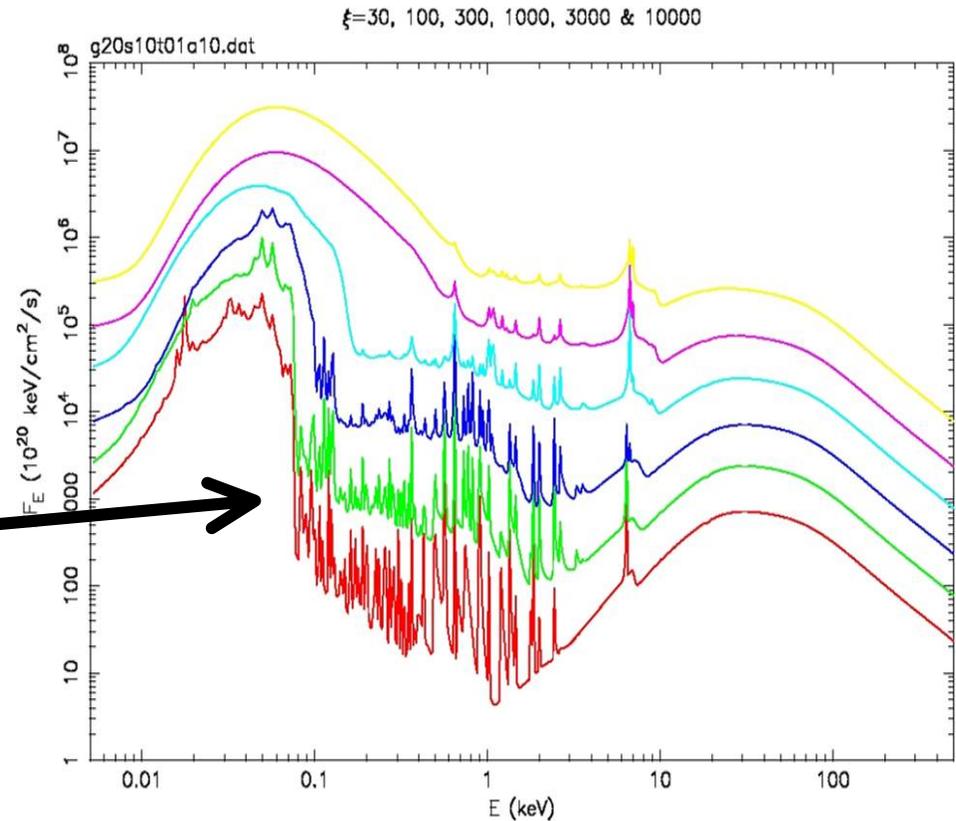
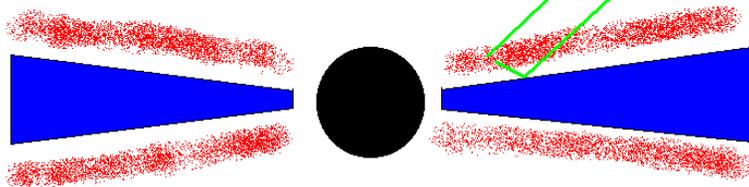
**Parmar et al. (1984)**  
*Solar Maximum Mission*  
**(Bent Crystal Spectrometer)**

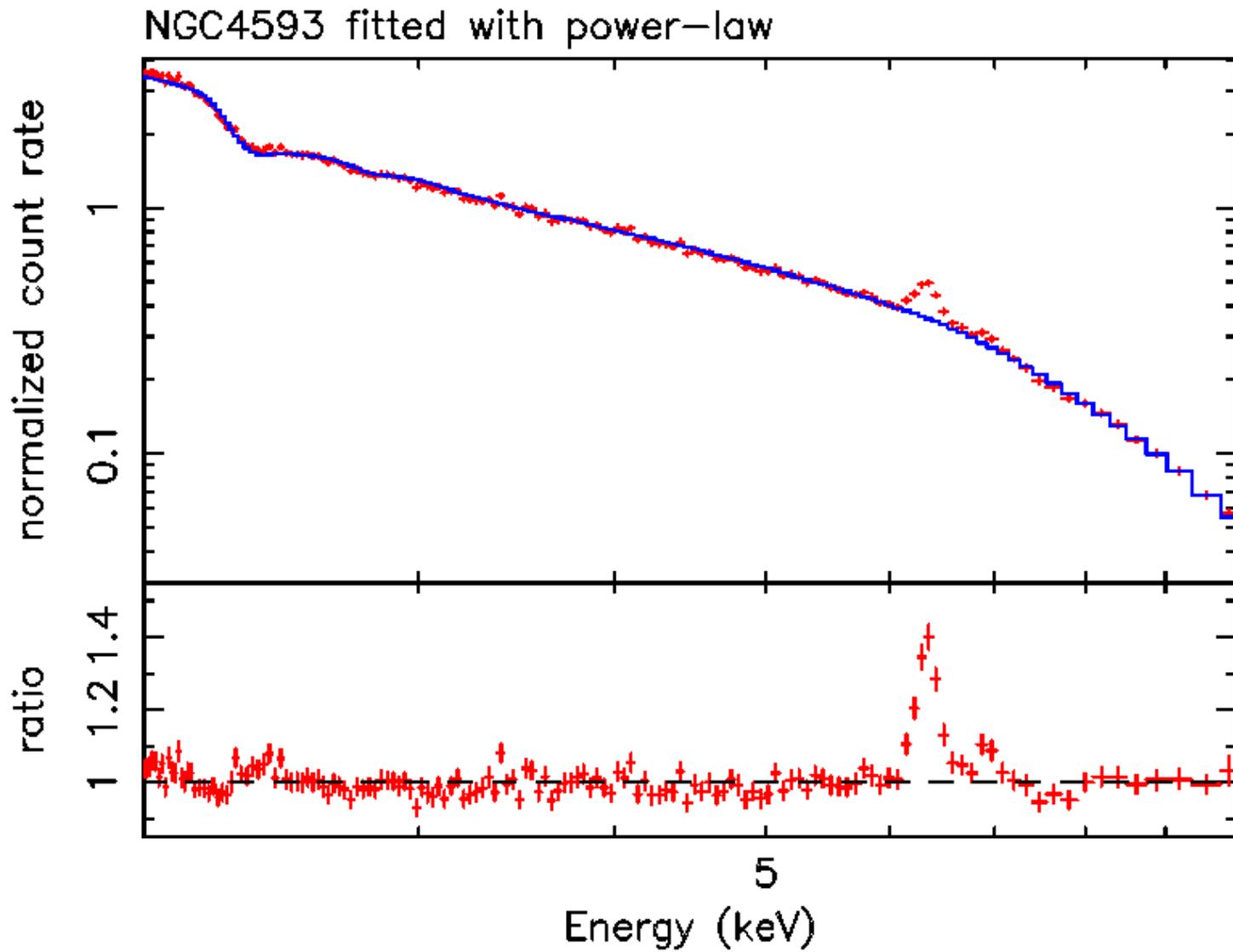
*With very high resolution there are 2 Fe K fluorescent feature K $\alpha$ 1, K $\alpha$ 2*

# X-ray reflection

## "Reflection" is Compton scattering

**Important consequence of corona:** underlying disk is irradiated by intense X-ray source... results in a characteristic spectrum being "reflected" from the disk surface layers  
Different amounts of flux can change ionization of disk

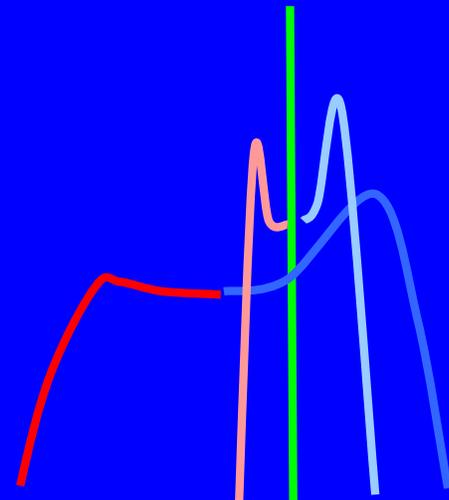
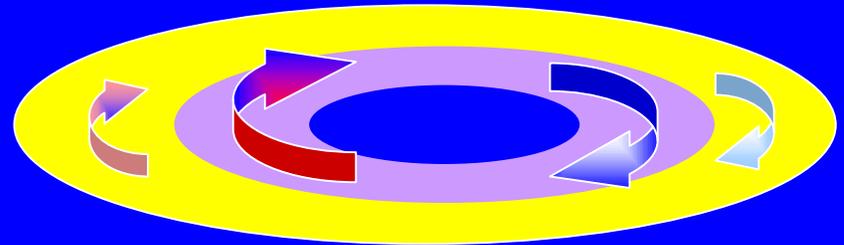




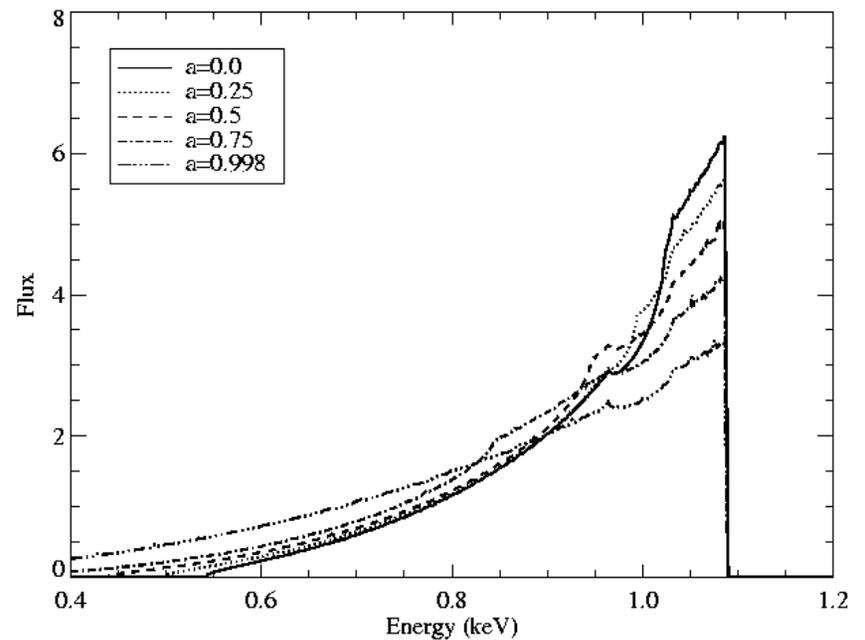
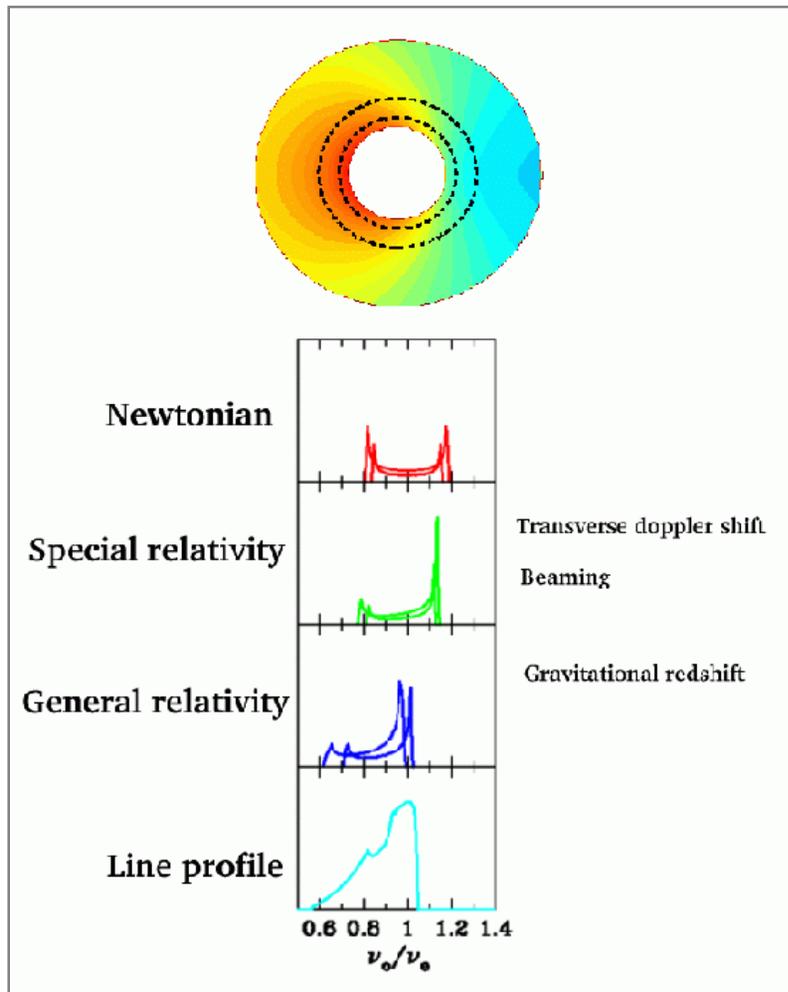
4/12/14 **NGC4593 (Active Galactic Nucleus; Seyfert 1)**

# Relativistic effects- C. Done

- Relativistic effects (special and general) affect all emission (Cunningham 1975)
- Hard to easily spot on continuum components
- Fe  $K\alpha$  line from irradiated disc – broad and skewed! (Fabian et al 1989)
- Broadening gives an independent measure of  $R_{in}$  – so spin if ISO (Laor 1991)
- Models predict increasing width as go from low/hard to high/soft states



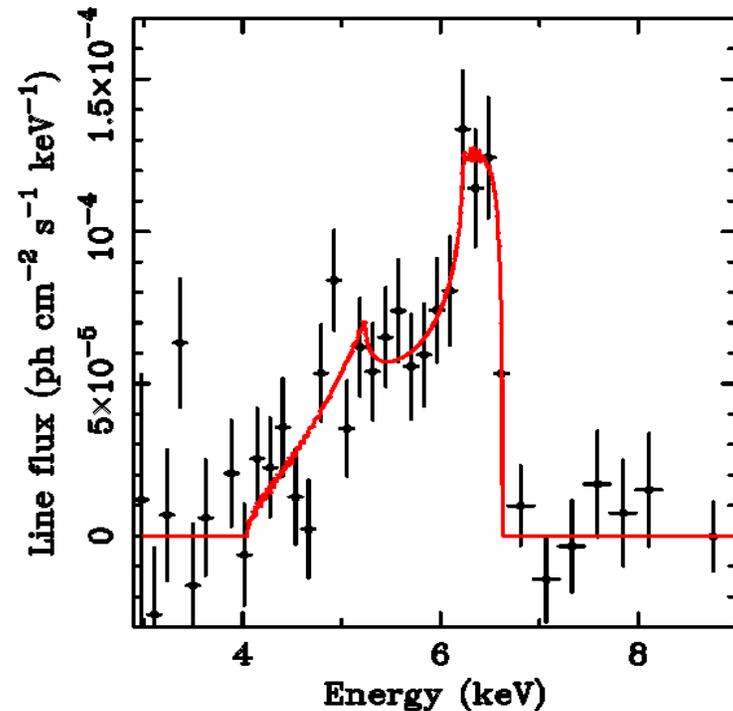
Relativistic effects imprint characteristic profile on the emission line...



*Theoretical line profiles  
[Laura Brenneman]*

# Observations of relativistic emission lines

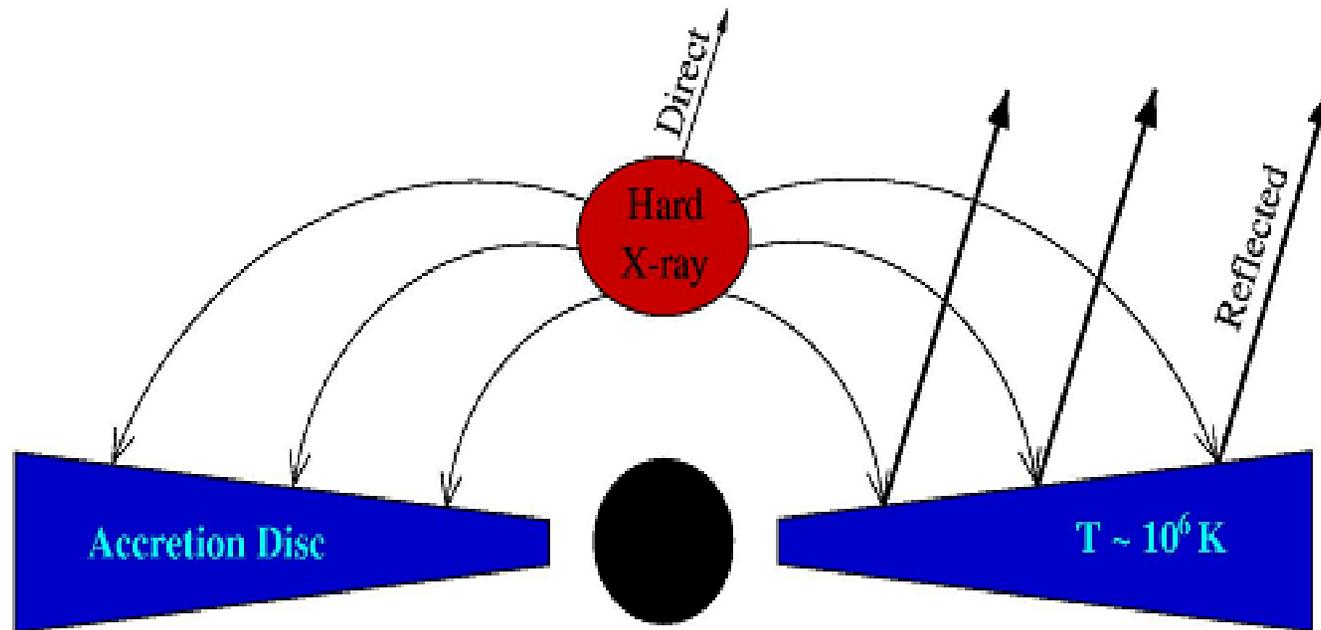
- First seen in 1994 with ASCA observatory
- 5 day observation of Seyfert-1 galaxy MCG-6-30-15
- Needed long observation to collect enough photons to form detailed spectrum



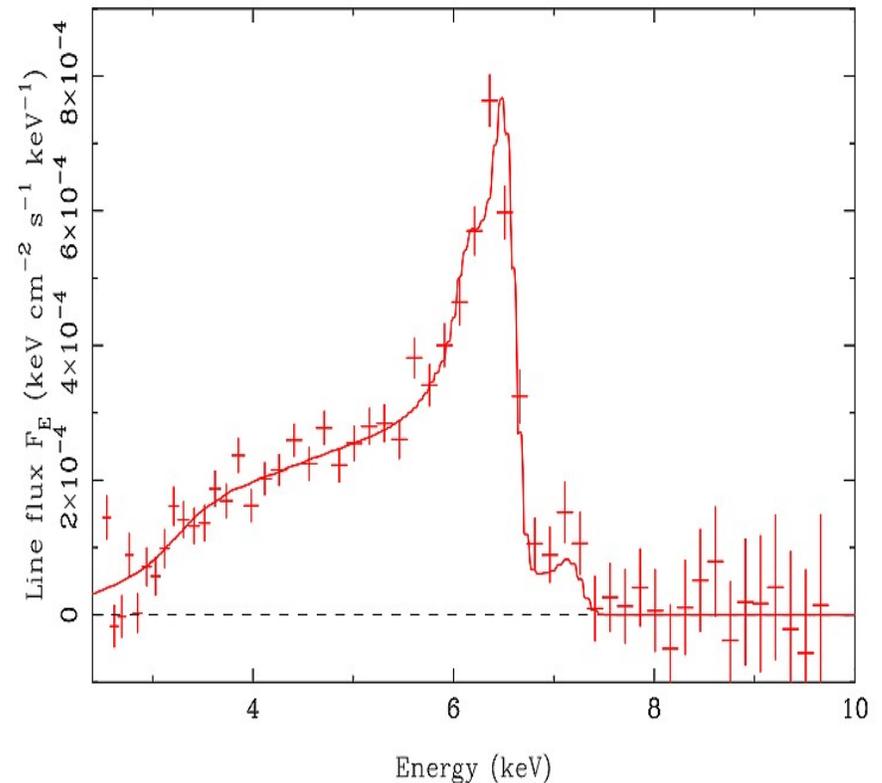
Power-law continuum subtracted  
ASCA: Tanaka et al. (1995)

## Relativistic Effects

- Light rays are bent by strong gravity- making the geometry rather complicated
- Do not know 'where' x-ray source is - try to use data to figure it out

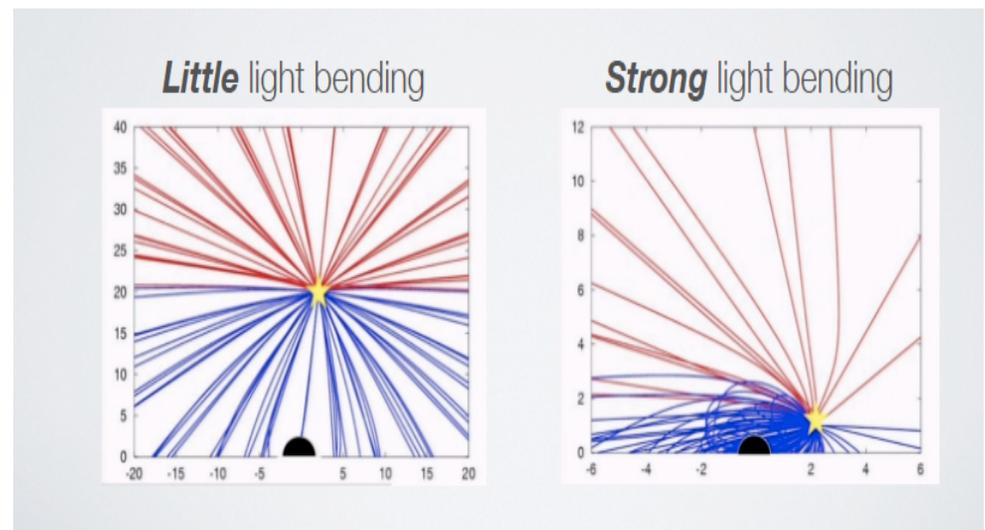
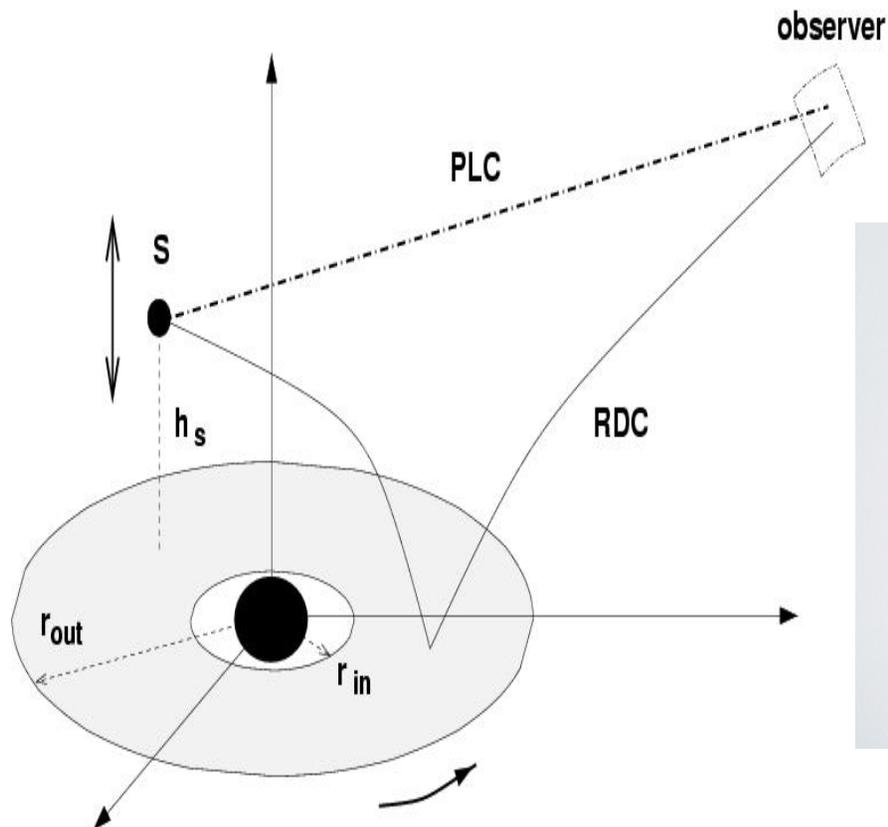
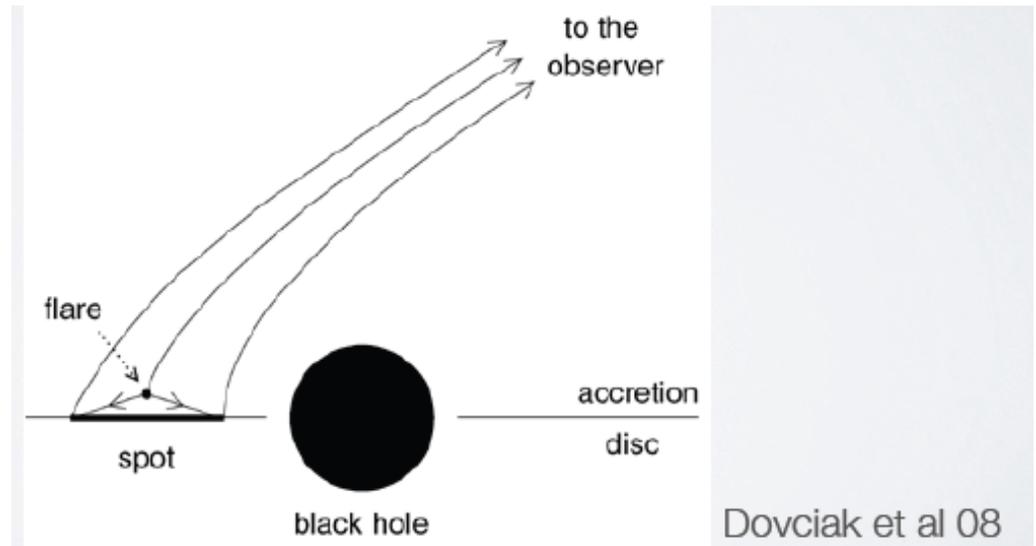


- Modern XMM-Newton observations
- Confirm relativistic line with extreme redshifts
- If no line emission from within ISCO, need to invoke spinning black hole to get strong enough redshifting

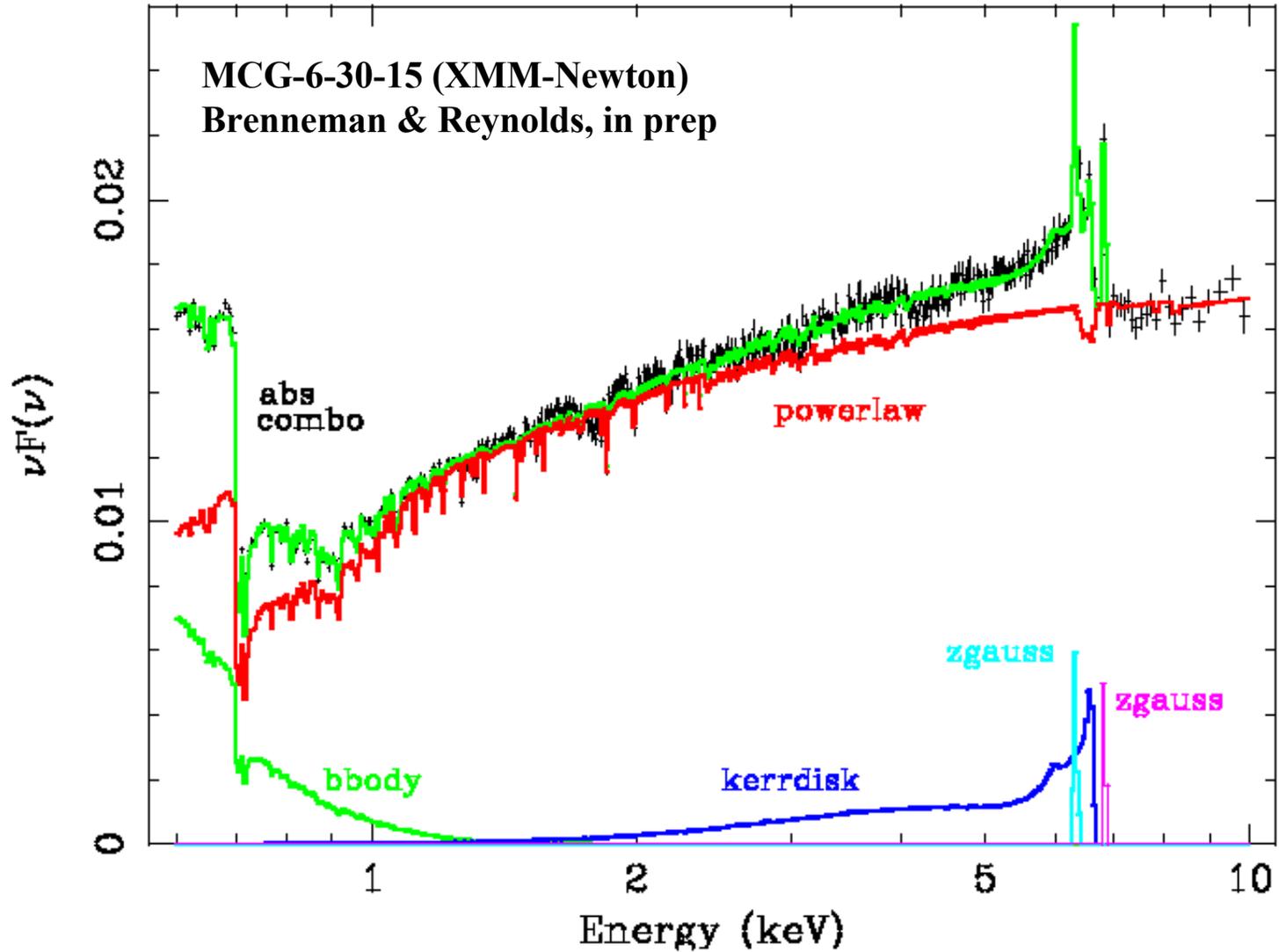


Power-law continuum subtracted  
XMM: Fabian et al. (2002)

- if we only knew where the x-rays come from ( $h_s \sim r_s$ ) from time variability arguments

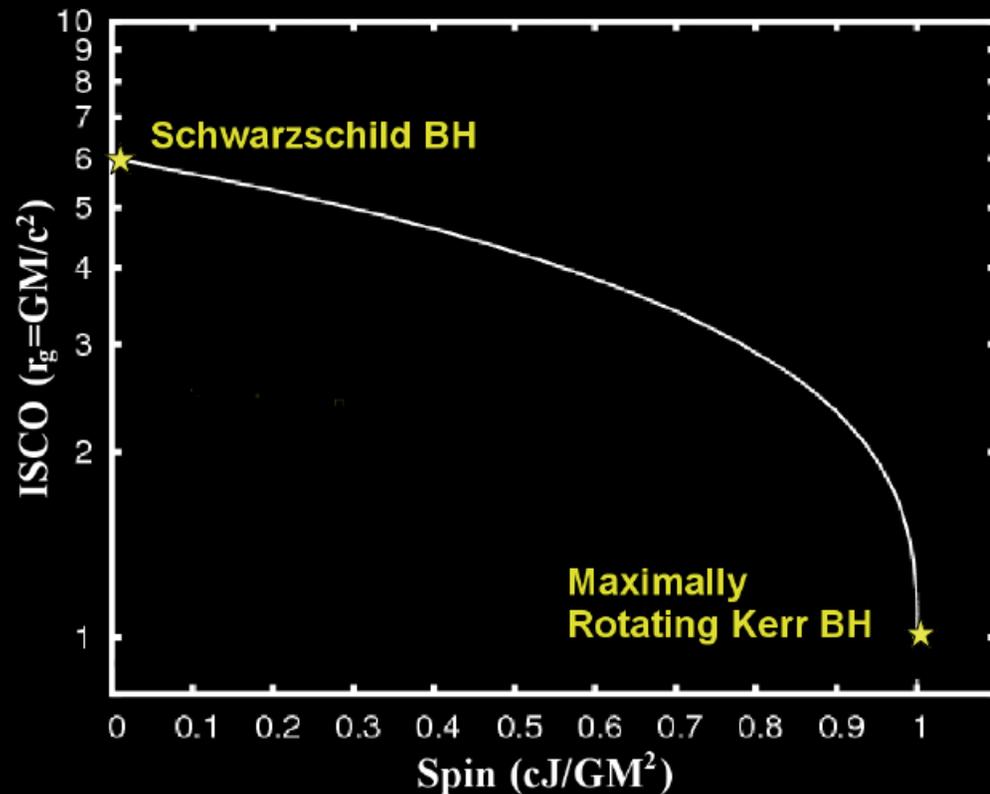


Spectra are quite complex...



- Spin- is measured in units of  $c/GM^2$

## Measure $R_{ISCO} \rightarrow$ Spin



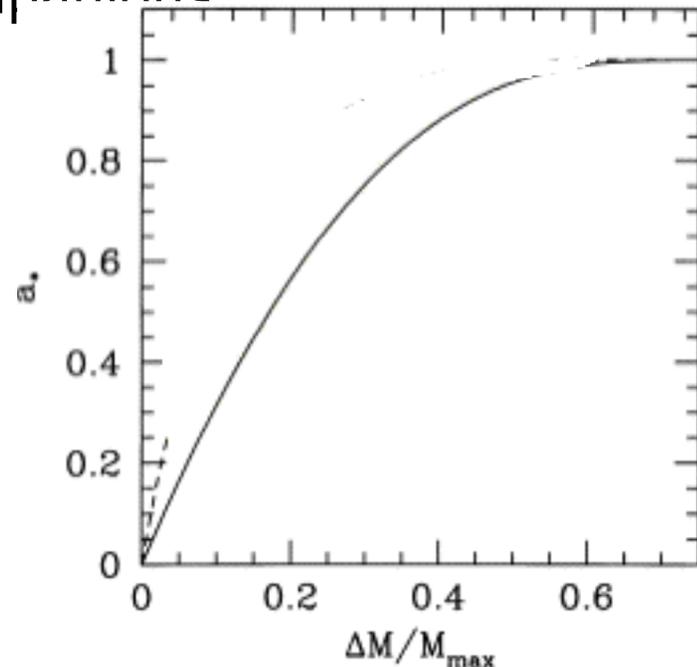
The radius of the innermost stable circular orbit depends on the spin.  
(Bardeen et al. 1972).

# Why Measure Spin

- BH has only 3 measurable properties Mass, spin, charge.
- Black hole spins affects
  - the efficiency of the accretion processes, hence the radiative output
  - how much energy is extractable from the hole itself
  - the retention of black holes in galaxies
  - gravitational wave signature
  - possible origin of jets.
- Origin of BH Spin
  - natal
  - history

# Spin

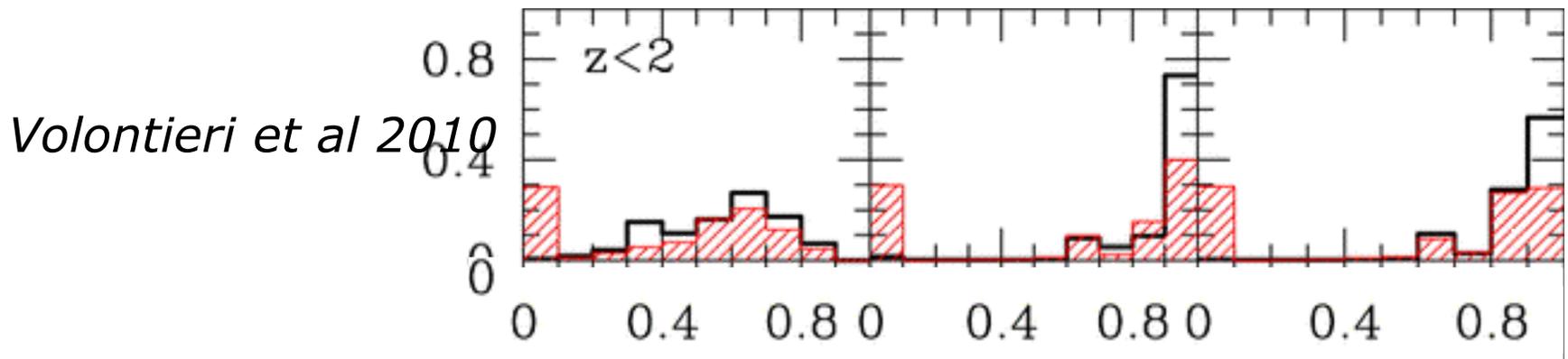
- For galactic black holes- not enough accretion to account for spin being due to accretion of angular momentum- need to accrete  $\sim 3/4$  of the mass to spin it up to the maximal spin
- If accreting at the Eddington limit takes a very long time ( $\sim 10^8$  yrs)
  - too long for wind fed or Roche Lobe systems
  - too much mass for low mass companions
- Spin is natal



# Spin

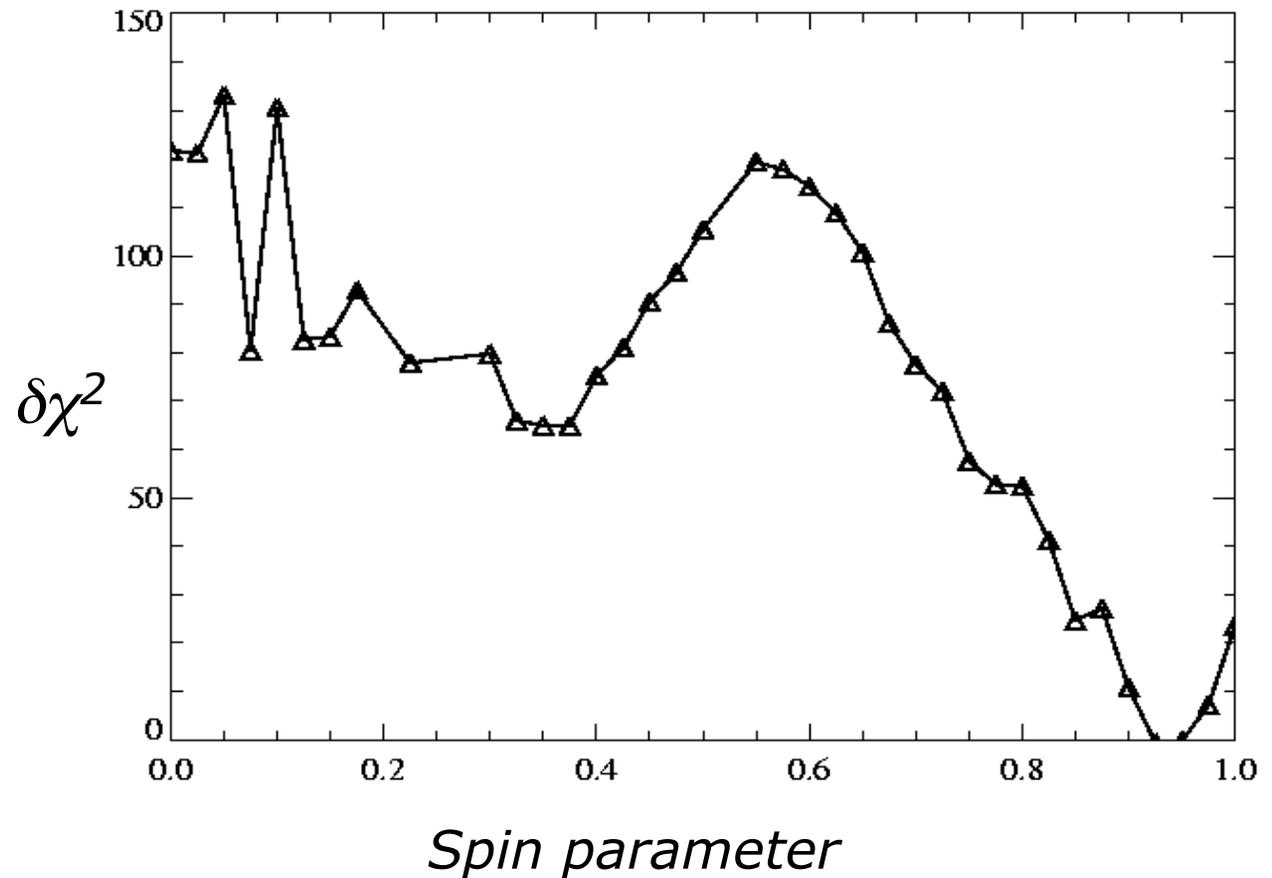
- For supermassive black holes- If accreting at the Eddington limit ( $\sim 10^8 M_{\odot}$  accretes  $0.25 M_{\odot}/\text{yr}$ ) so takes  $4 \times 10^8$  yrs to double its mass and spin up
- Spin can be due to accretion
- Requires 'organized' accretion of angular momentum

Alternatively spin could be due to mergers of black holes



4/12/11 mergers only (left), mergers and prolonged accretion (center), and mergers and chaotic accretion 57

- Applied models to long (350ks) XMM dataset for MCG-6-30-15
  - Data strongly prefers rapidly spinning BH solution
  - $a \sim 0.93$



# Present knowledge of spin in Galactic Black Holes- R. Reis 2010

